

# Parsing TAGs and beyond

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Tutorial TAG+9  
Tuebingen, June 6th 2008

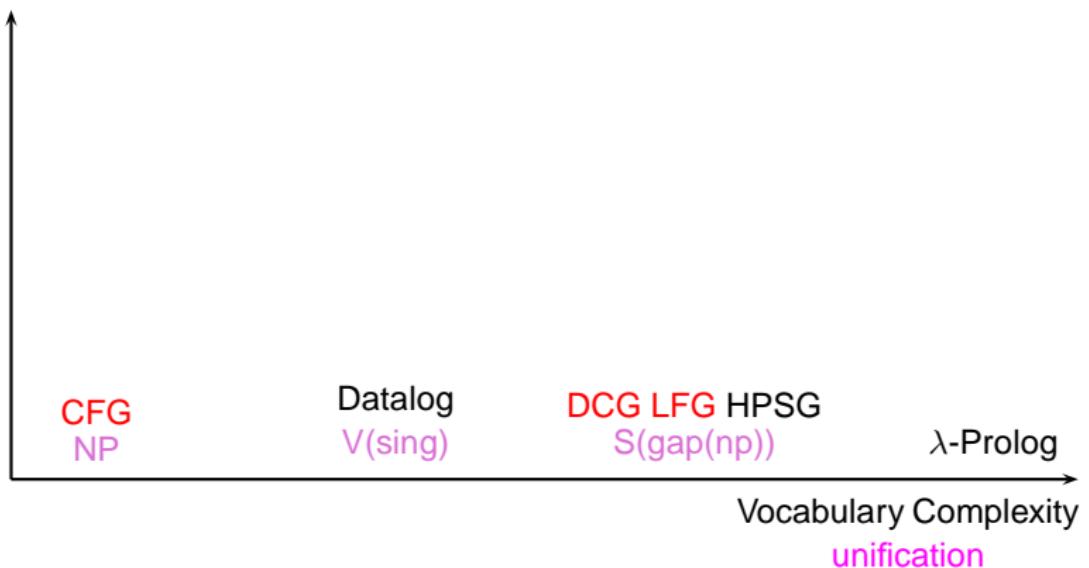
# Why parsing TAGs ?

- TAGs are fun and linguistically important (**TAG**)
  - TAGs are complex to parse, but they open the way for many variants and even more complex formalisms (**TAG+**)



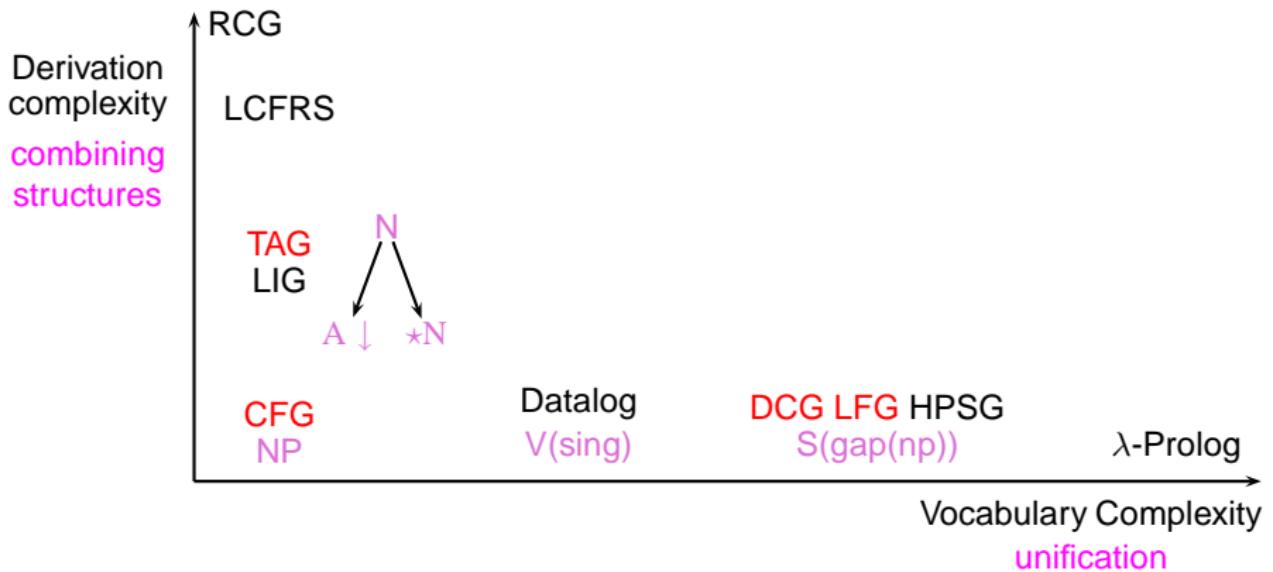
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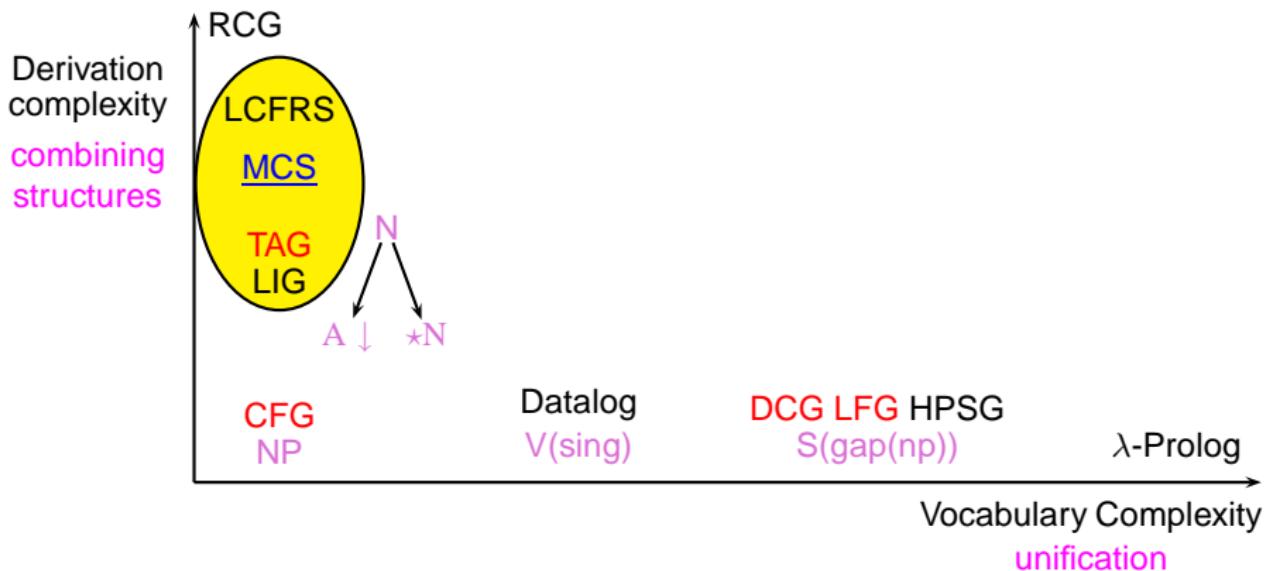
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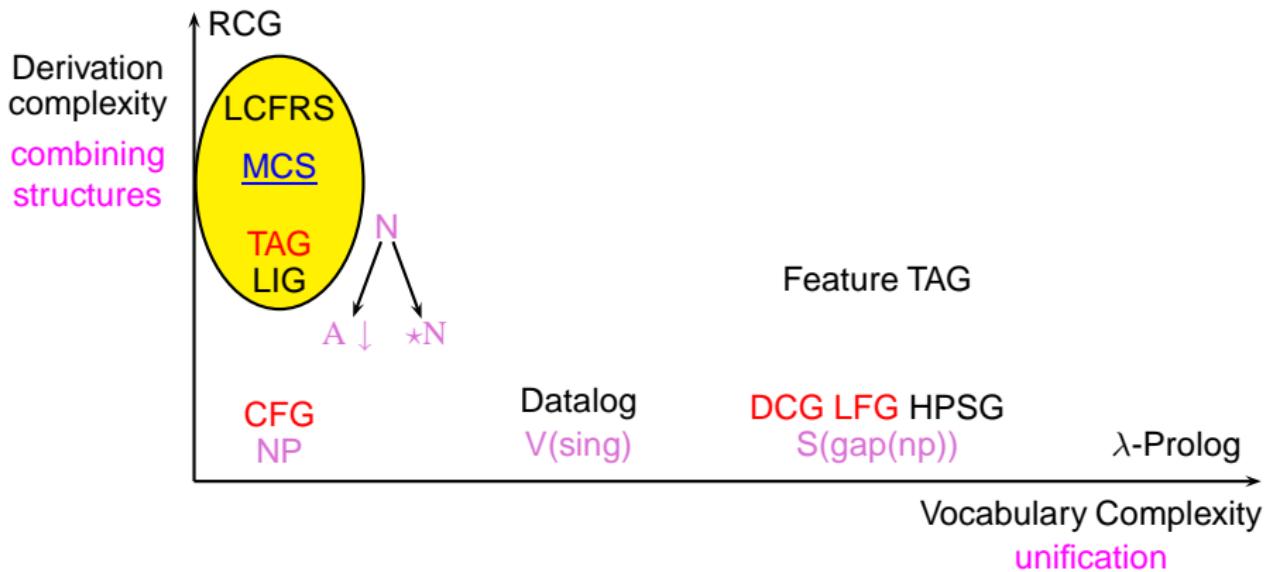
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## Roadmap: climbing the devil's peak

## Background



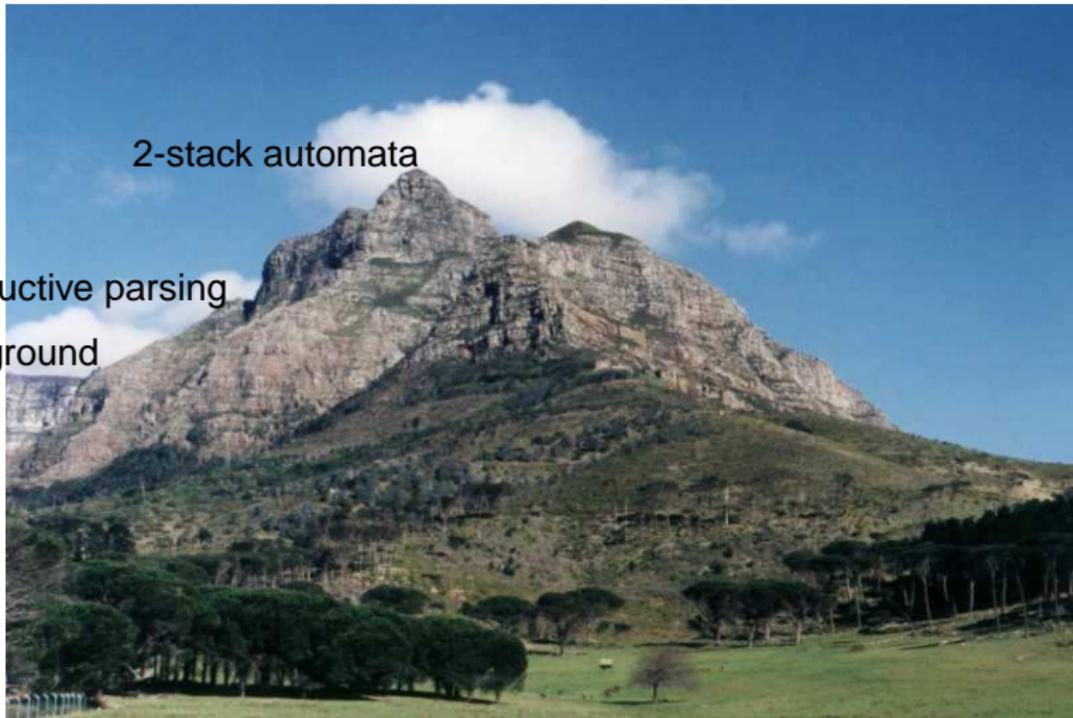
## Roadmap: climbing the devil's peak

## Deductive parsing

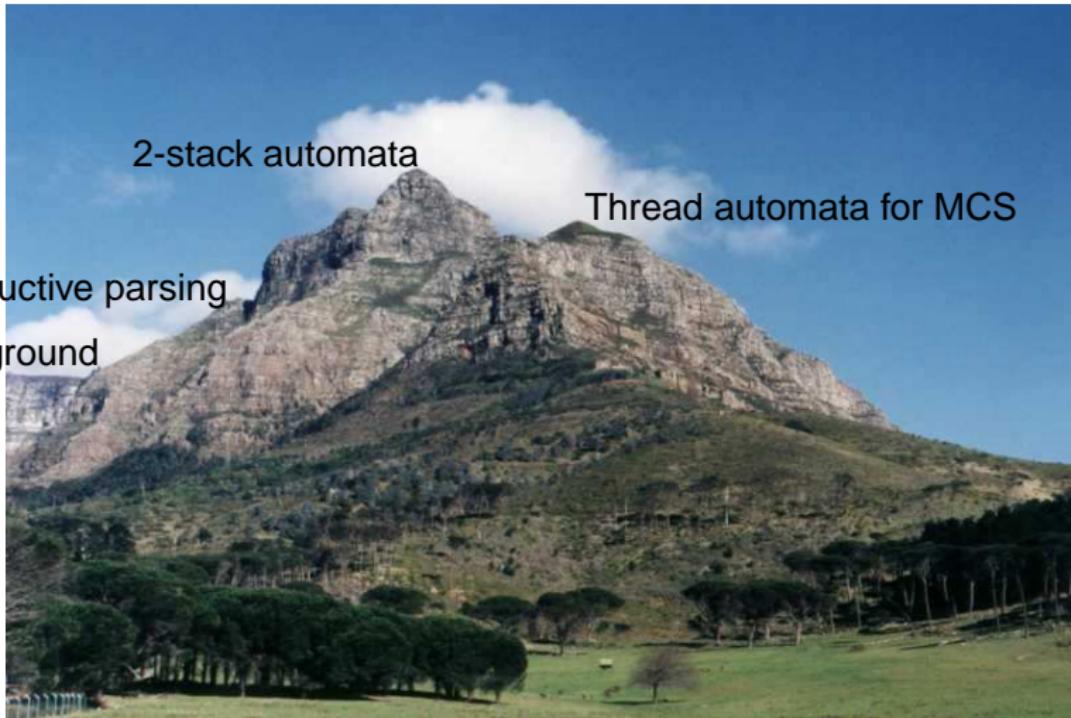
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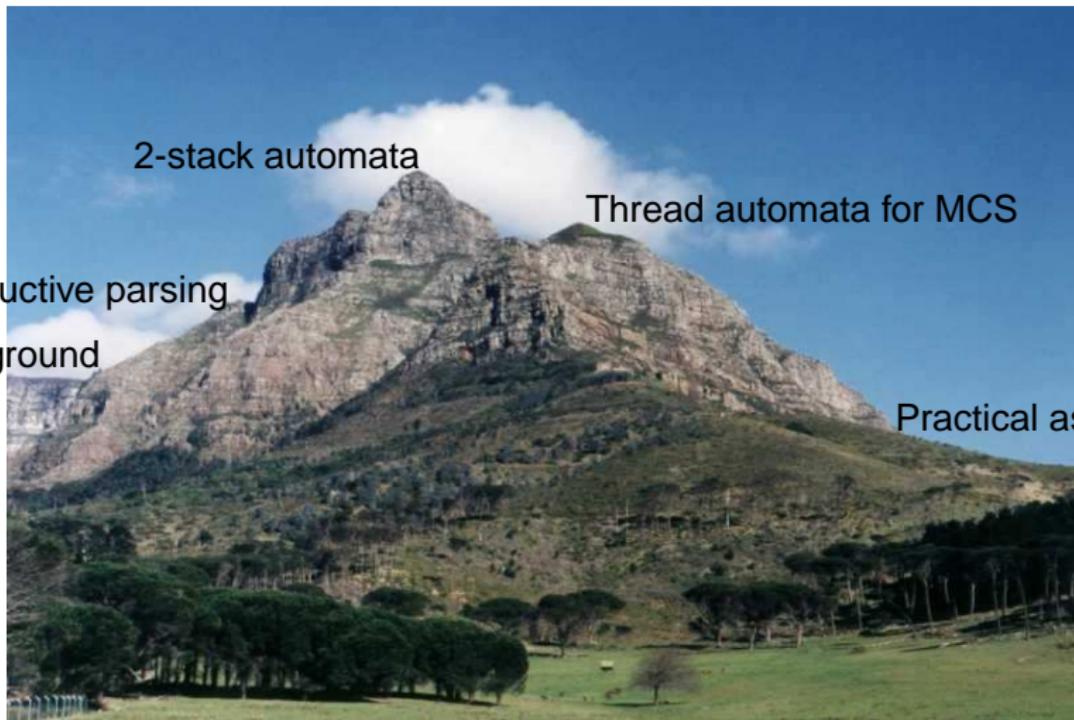
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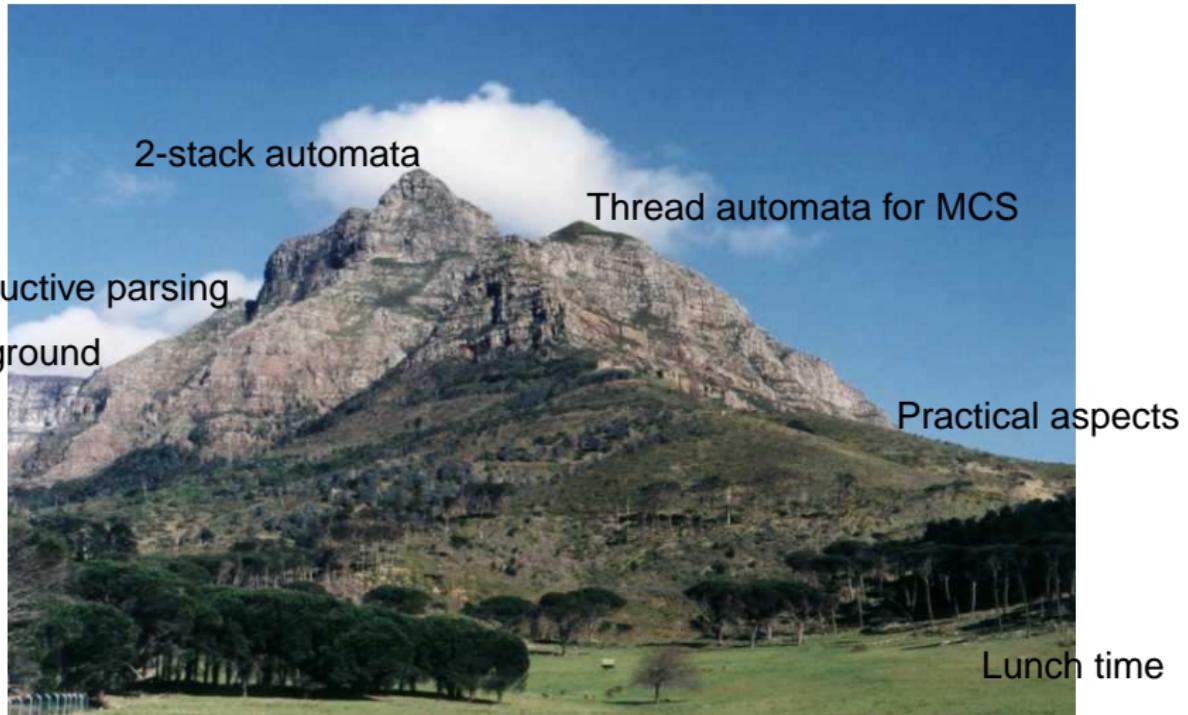
Thread automata for MCS

## Deductive parsing

## Background

## Practical aspects

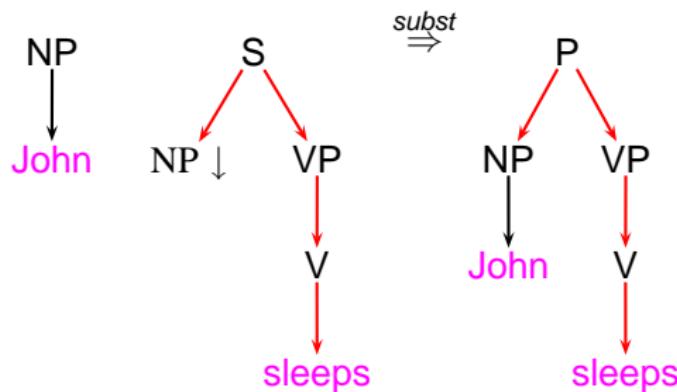
## Roadmap: climbing the devil's peak



- 1 Some background about TAGs
- 2 Deductive chart-based TAG parsing
- 3 Automata-based tabular TAG parsing
- 4 Thread Automata and MCS formalisms
- 5 A Dynamic Programming interpretation for TAs
- 6 Practical aspects about TAG parsing
- 7 Conclusion

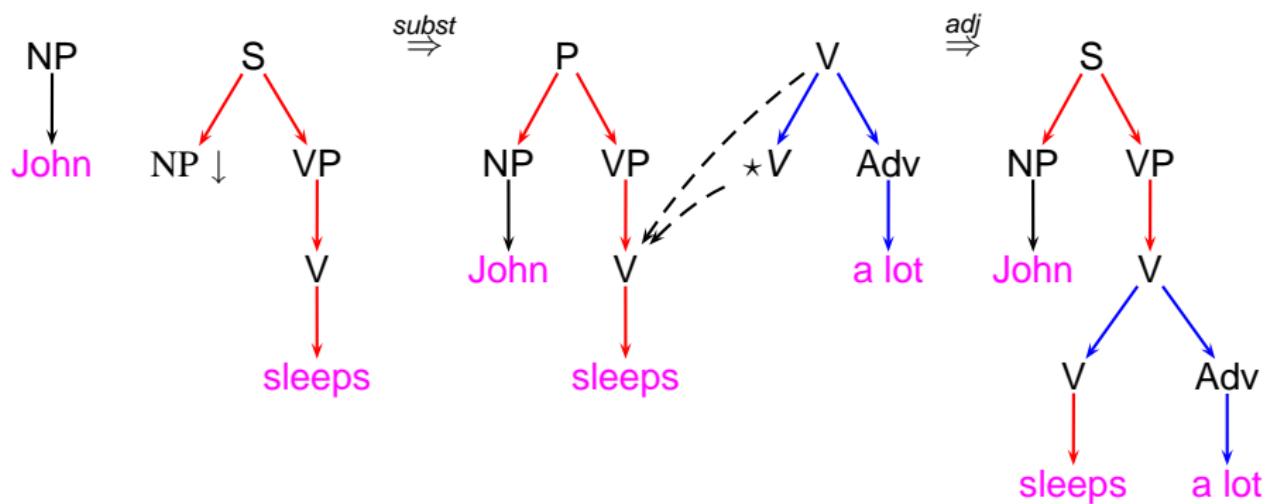
# TAG: a small example

Tree Adjoining Grammars [TAGs] [Joshi] build parse trees from initial and auxiliary trees by using 2 tree operations: substitution and adjoining

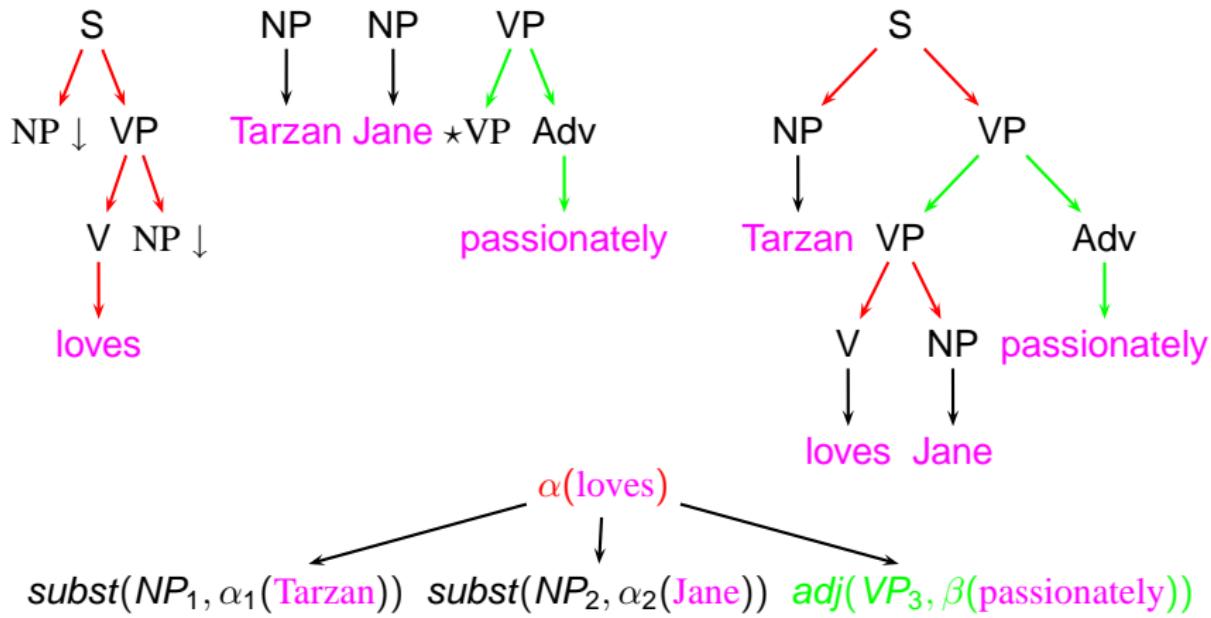


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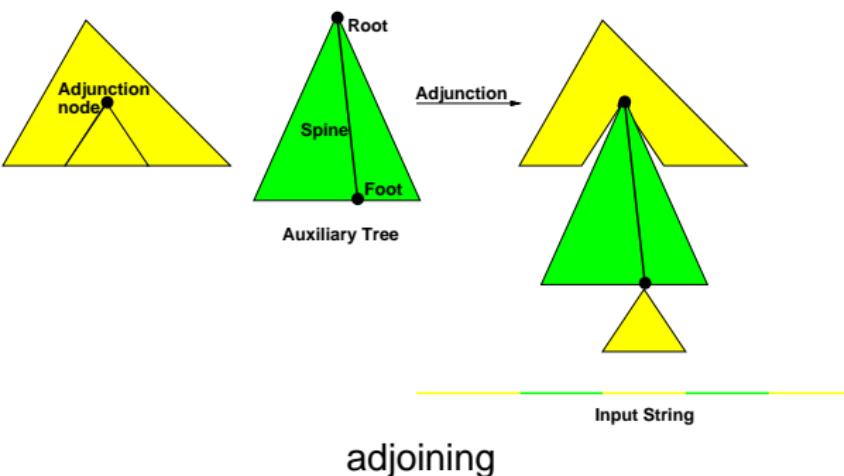
# Derivation tree



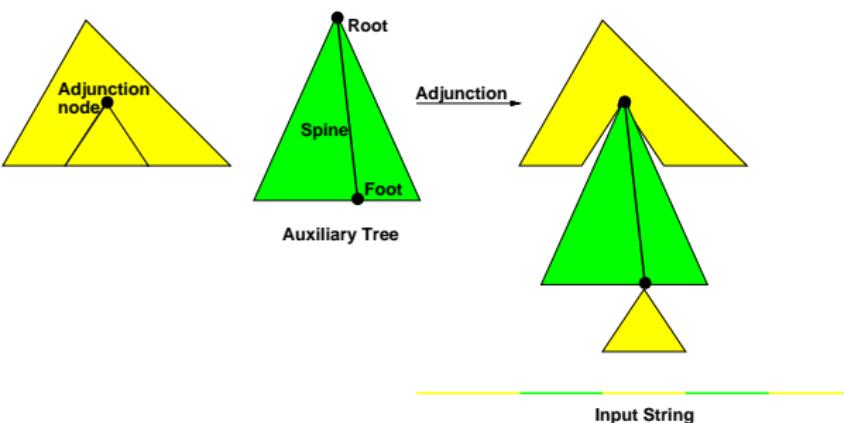
For TAGs, **derivation tree** not isomorphic to parse tree but close from semantic level.

# TAG complexity: Adjoining

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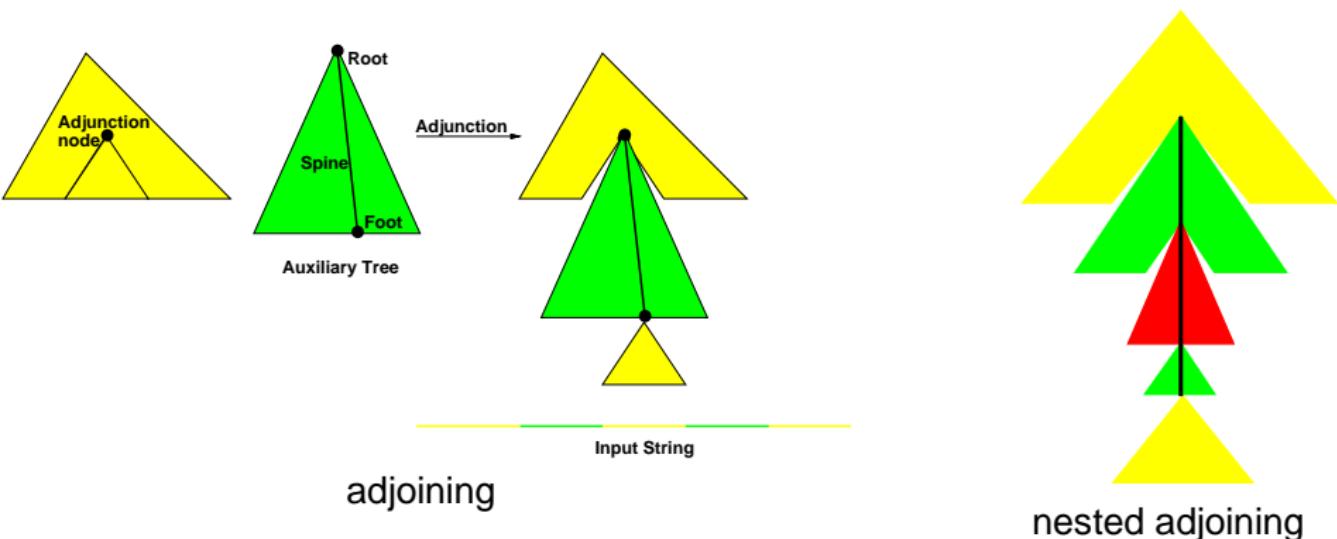
# TAG complexity: Adjoining



adjoining

- discontinuity (hole in aux tree)
- crossing (both sides of the hole)

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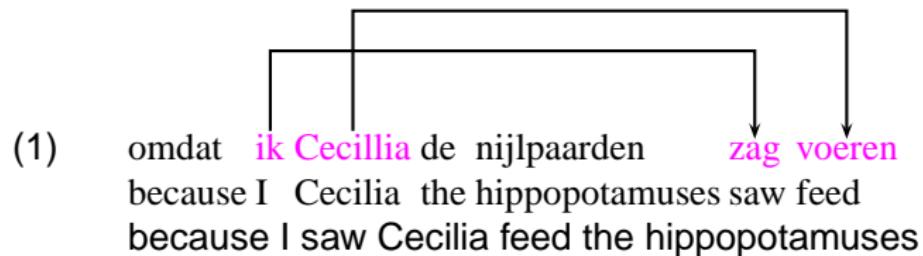


- discontinuity (hole in aux tree)
- crossing (both sides of the hole)
- unbounded synchronization (both sides of spine)

# Expressive power of TAGs

The adjoining operation extends the expressive power of TAGs w.r.t. CFGs.

- long distance dependencies (wh-pronoun extraction for instance)
- crossed dependencies as given by copy language “**ww**” or by language “***a<sup>n</sup>b<sup>n</sup>c<sup>n</sup>***”



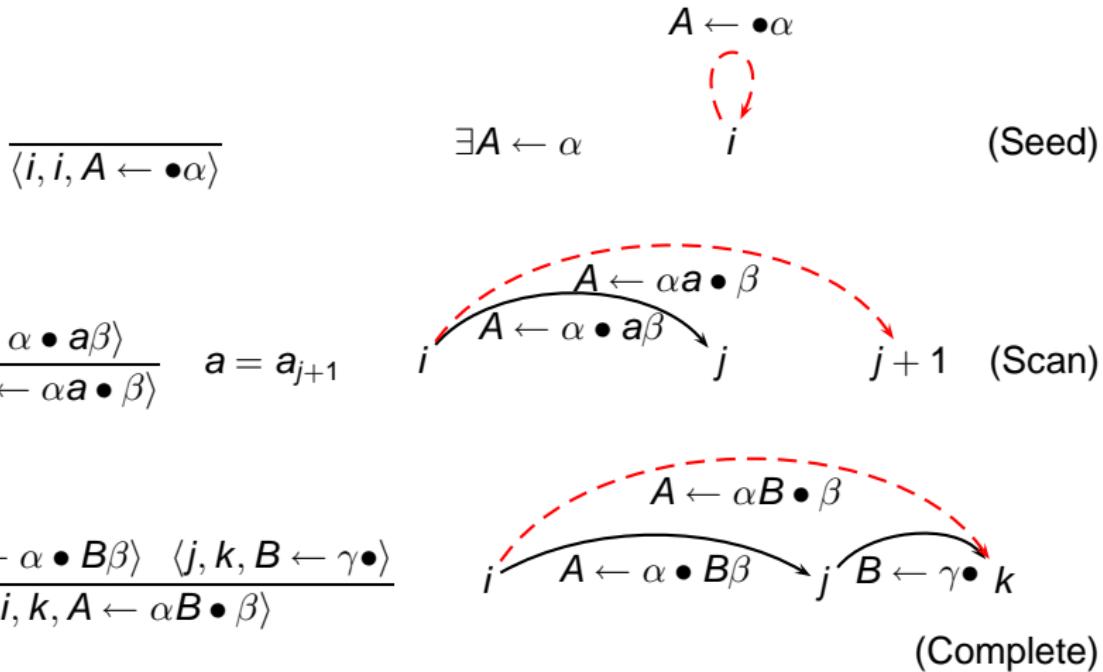
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## Formalization of chart parsing

### Use of

- universe of tabulable **items**, representing (set of) partial parses
- items often build upon **dotted rules**  $A_0 \leftarrow A_1 \dots A_i \bullet A_{i+1} \dots A_n$
- chart edges labeled by dotted rules ( items  $\equiv \langle i, j, A \leftarrow \alpha \bullet \beta \rangle$  )
- a **deductive system** specifying how to derive items

# CKY as a deductive system (for CFGs)

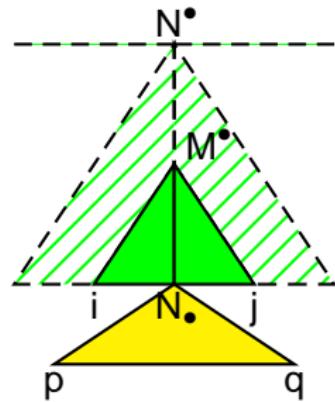


# CKY for TAGs

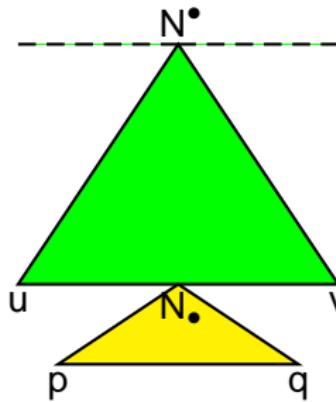
CKY algorithm for TAGs [Vijay-Shanker & Joshi 85]

Presentation:

- Dotted trees  $N^\bullet$  and  $N_\bullet$  where  $N$  is a node of an elementary tree
- Items  $\langle N^\bullet, i, p, q, j \rangle$  and  $\langle N_\bullet, i, p, q, j \rangle$  with  $p, q$  possibly covering a foot node.



$\langle M_\bullet, i, p, q, j \rangle$



Without adjoining:  $\langle N_\bullet, p, \_, \_, q \rangle$

With adjoining:  $\langle N^\bullet, u, \_, \_, v \rangle$

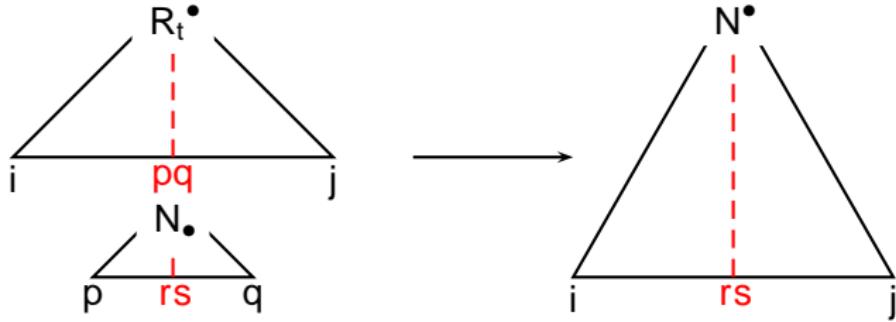
# Rule (Adjoin)

Gluing a sub-tree at a foot node.

$$\frac{\langle N_\bullet, p, r, s, q \rangle \quad \langle R_t^\bullet, i, p, q, j \rangle}{\langle N^\bullet, i, r, s, j \rangle}$$

$\text{label}(N) = \text{label}(R_t)$

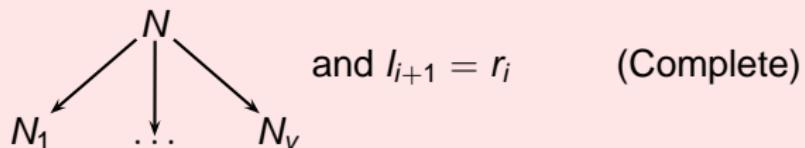
(Adjoin)



# Rule (Complete)

Gluing all node's children

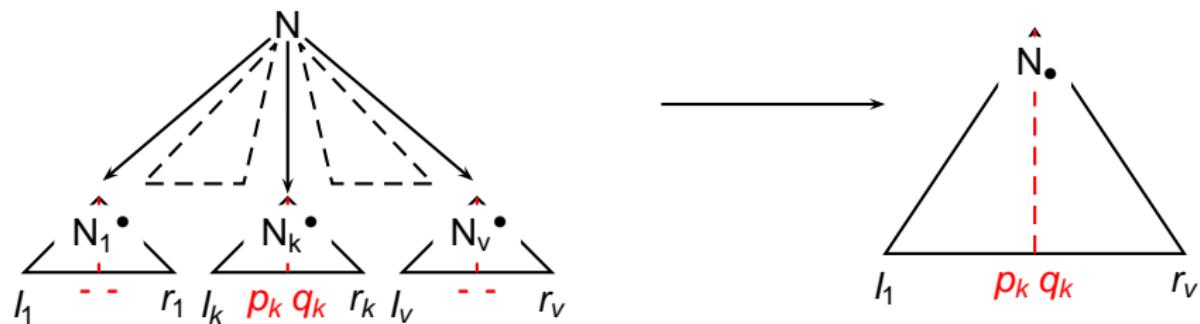
$$\frac{\langle N_i^{\bullet}, l_i, p_i, q_i, r_i \rangle}{\langle N_{\bullet}, l_1, \cup p_i, \cup q_i, r_v \rangle}$$



and  $l_{i+1} = r_i$

(Complete)

Note: At most one child ( $k$ ) covers a foot node with  $(\cup p_i, \cup q_i) = (p_k, q_k)$



Other deductive rules needed to handle

- ① substitution
- ② terminal scanning
- ③ node without adjoining

Time complexity  $O(n^{\max(6,1+v+2)})$  with

- $v$  : maximal number of children per node
- 2 : number of indexes to cover a possible unique foot node

Normalization using **binary-branching trees** ( $v = 2$ )  $\Rightarrow$  complexity  $O(n^6)$

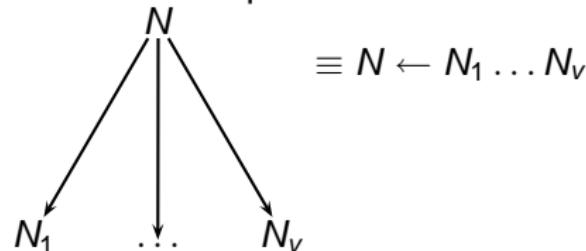
4 indexes per item  $\Rightarrow$  Space complexity in  $O(n^4)$  for a recognizer  
 $O(n^6)$  for a parser, keeping **backpointers** to parents

Optimal worst-case complexities  
but practically, even less efficient than CKY for CFGs

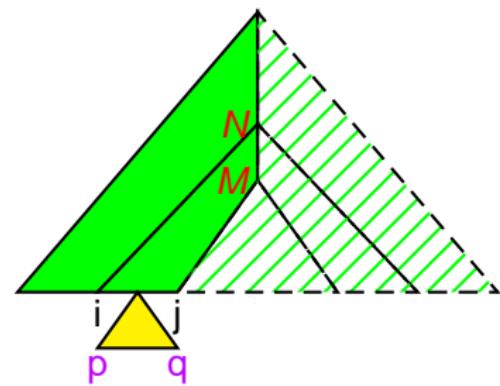
# Prediction, dotted trees and dotted productions

To mark prediction, new dotted trees [Shabes]:  $\bullet N$  and  $\bullet N$

Alternative: equivalence with dotted productions



| dotted tree                    | dotted production                                      |
|--------------------------------|--|
| $N_k \bullet, \bullet N_{k+1}$ | $N \leftarrow N_1 \dots N_k \bullet N_{k+1} \dots N_v$ |
| $\bullet R$ (root)             | $T \leftarrow \bullet R$                               |
| $R^\bullet$ (root)             | $T \leftarrow R \bullet$                               |
| $\bullet N$                    | $N \leftarrow \bullet N_1 \dots N_v$                   |
| $N \bullet$                    | $N \leftarrow N_1 \dots N_n \bullet$                   |



$$\langle N \leftarrow \alpha \bullet M\beta, i, p, q, j \rangle$$

# Non prefix valid Earley algorithm

- Glue a sub-tree at foot node  $F_t$  (not necessarily correct)

$$\frac{\langle M \leftarrow \gamma \bullet, p, r, s, q \rangle \quad \langle T \leftarrow R_t \bullet, i, p, q, j \rangle}{\langle M \leftarrow \gamma \bullet, i, r, s, j \rangle} \quad \text{label}(M) = \text{label}(R_t) \quad (\text{Adjoin})$$

- Advance in recognition of  $N$ 's children

$$\frac{\langle N \leftarrow \alpha \bullet M \beta, i, u, v, j \rangle \quad \langle M \leftarrow \gamma \bullet, j, r, s, k \rangle}{\langle N \leftarrow \alpha M \bullet \beta, i, u \cup r, v \cup s, k \rangle} \quad (\text{Complete})$$

(Adjoin) and (Complete) similar to CKY (binary form)

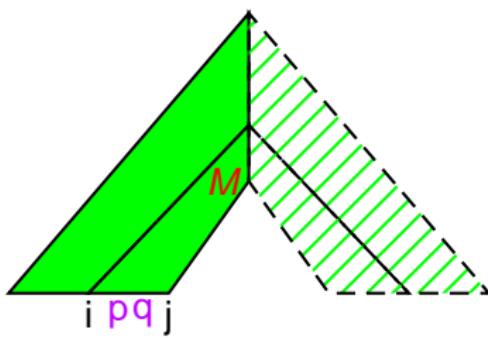
## Adjoining Prediction

## Predict adjoining at $M$

$$\underline{\langle N \leftarrow \alpha \bullet M\beta, i, p, q, j \rangle}$$

$$\text{label}(M) = \text{label}(R_t)$$

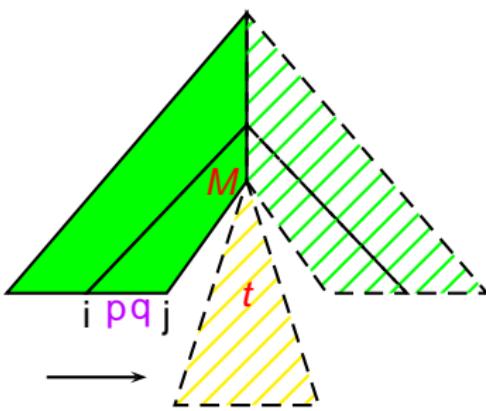
(CallAdj)



## Adjoining Prediction

## Predict adjoining at $M$

$$\frac{\langle N \leftarrow \alpha \bullet M\beta, i, p, q, j \rangle}{\langle \top \leftarrow \bullet R_t, j, -, -, j \rangle} \quad \text{label}(M) = \text{label}(R_t) \quad (\text{CallAdj})$$



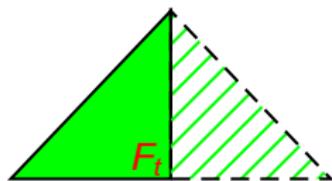
# Foot Prediction

Predict a sub-tree root at  $M$  to recognize below foot node  $F_t$

$$\langle F_t \leftarrow \bullet \perp, i, \textcolor{purple}{-}, \textcolor{purple}{-}, i \rangle$$

$$\text{label}(F_t) = \text{label}(M)$$

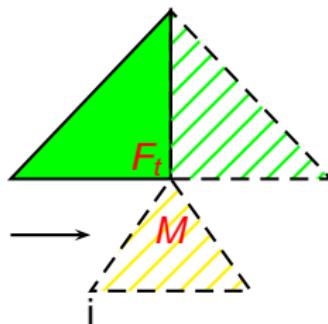
(CallFoot)



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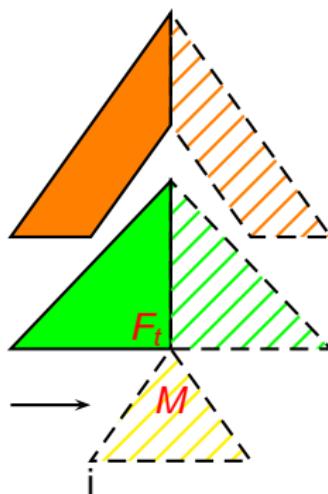
$$\frac{\langle F_t \leftarrow \bullet \perp, i, \underline{-}, \underline{-}, i \rangle}{\langle M \leftarrow \bullet \gamma, i, \underline{-}, \underline{-}, i \rangle} \quad \text{label}(F_t) = \text{label}(M) \quad (\text{CallFoot})$$



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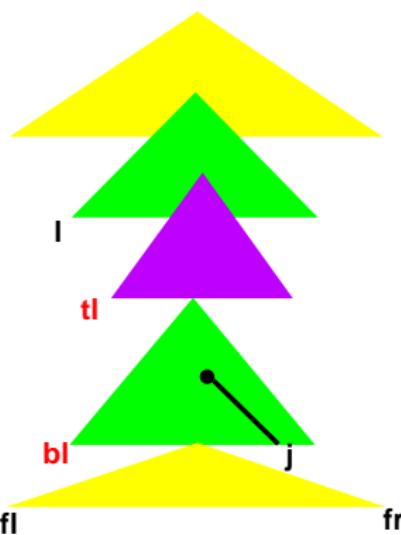


The prediction of  $M$  not related to the node  $M'$  having triggered the adjoining of  $t$   
⇒ Non prefix valid parsing strategy

- Space complexity remains  $O(n^4)$
- Dotted productions  $\Rightarrow$  implicit binarization  $\Rightarrow$  time in  $O(n^6)$
- Non prefix valid: impact difficult to evaluate in practice
- **Note:** Dotted productions also applicable to improve CKY

## Prefix valid Early [Shabes]

Complexities time in  $O(n^9)$  and space in  $O(n^6)$  due to 6-index items

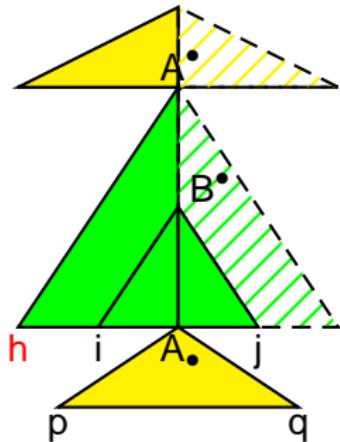


Actually, ***tl*** and ***bl*** may be avoided using dotted productions

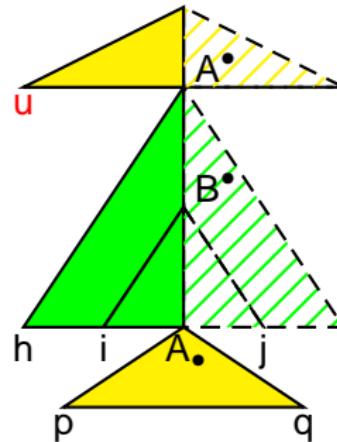
# Prefix valid Earley [Nederhof]

Item with only an extra index  $h$ :  $\langle h, N \leftarrow \alpha \bullet \beta, i, p, q, j \rangle$

$h$  states starting (leftmost) position of on-going adjoining



$\langle h, N \leftarrow \alpha B \bullet \beta, i, p, q, j \rangle$



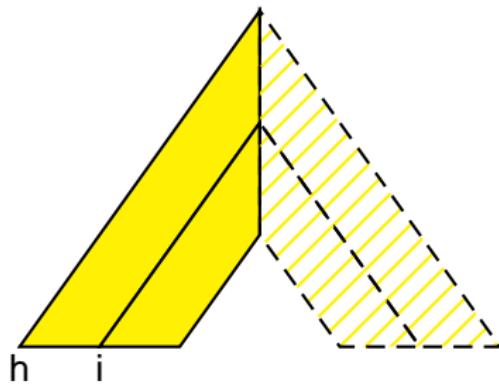
$\langle u, A \leftarrow \gamma \bullet, p, -, -, q \rangle$

# Foot prediction

$$\langle h, N \leftarrow \alpha \bullet M\beta, i, p, q, j \rangle$$

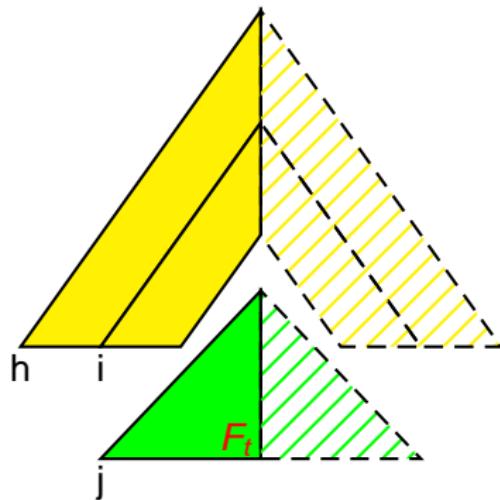
$$\text{label}(F_t) = \text{label}(M)$$

(CallFootPf)



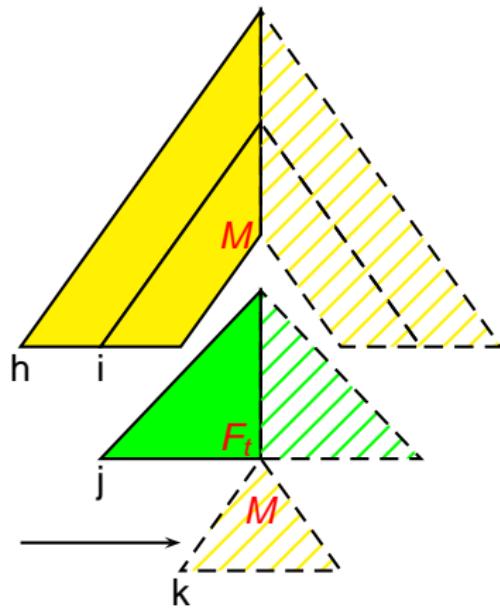
# Foot prediction

$$\frac{\langle h, N \leftarrow \alpha \bullet M\beta, i, \textcolor{violet}{p}, \textcolor{violet}{q}, j \rangle \\ \langle j, F_t \leftarrow \bullet \perp, k, \textcolor{red}{-}, \textcolor{red}{-}, k \rangle}{\text{label}(F_t) = \text{label}(M)} \quad (\text{CallFootPf})$$



# Foot prediction

$$\frac{\langle h, N \leftarrow \alpha \bullet M \beta, i, p, q, j \rangle}{\langle j, F_t \leftarrow \bullet \perp, k, \underline{\phantom{p}}, \underline{\phantom{q}}, k \rangle} \quad \frac{\langle h, M \leftarrow \bullet \gamma, k, \underline{\phantom{p}}, \underline{\phantom{q}}, k \rangle}{\text{label}(F_t) = \text{label}(M)} \quad (\text{CallFootPf})$$



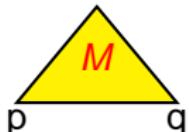
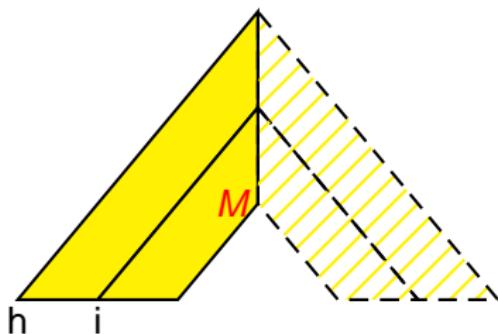
# Adjoining return

$$\langle h, N \leftarrow \alpha \bullet M\beta, i, u, v, j \rangle$$

$$\langle h, M \leftarrow \gamma \bullet p, r, s, q \rangle$$

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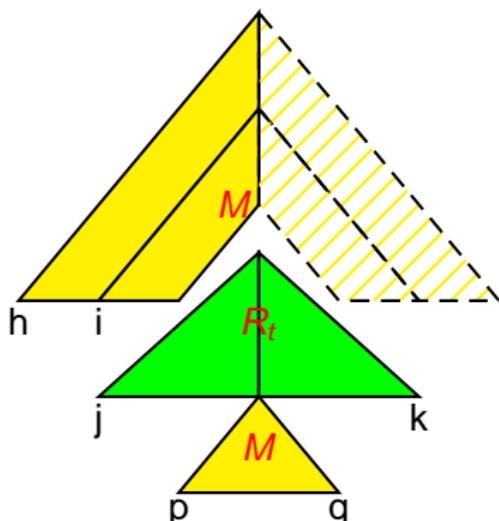
$$\text{label}(M) = \text{label}(R_t) \quad (\text{AdjoinPf})$$



# Adjoining return

$$\frac{\langle h, N \leftarrow \alpha \bullet M\beta, i, u, v, j \rangle \\ \langle j, \top \leftarrow R_t \bullet, j, p, q, k \rangle \\ \langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle}{\text{label}(M) = \text{label}(R_t)}$$

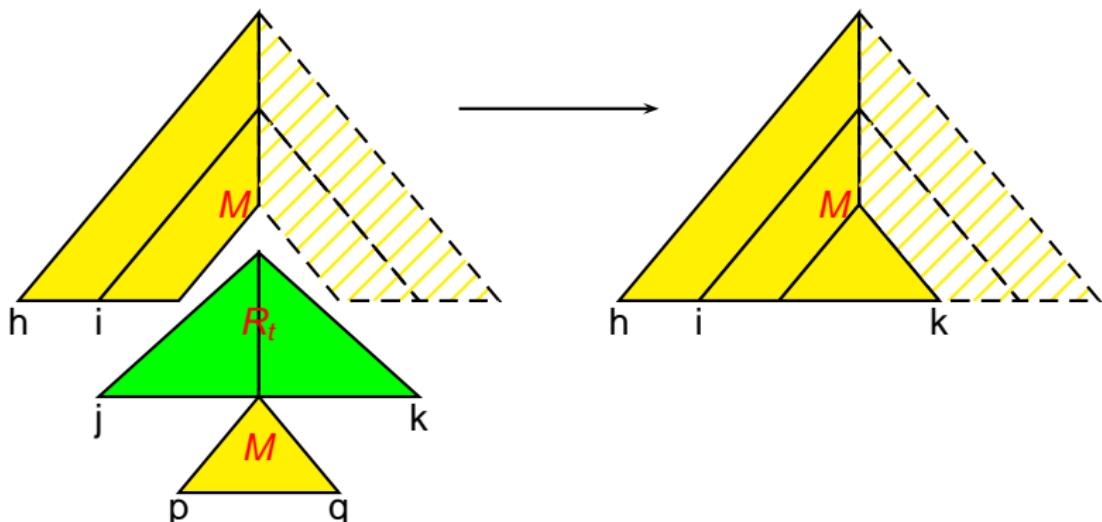
(AdjoinPf)



## Adjoining return

$$\frac{\langle h, N \leftarrow \alpha M \bullet \beta, i, u, v, j \rangle}{\langle h, M \leftarrow \gamma \bullet p, r, s, q \rangle}$$

$$\text{label}(M) = \text{label}(R_t) \quad (\text{AdjoinPf})$$



# Raw complexity

Maximal time complexity provided by (AdjoinPf) :  $O(n^{10})$  because of 10 indexes

$$\frac{\langle h, N \leftarrow \alpha \bullet M\beta, i, u, v, j \rangle \\ \langle j, \top \leftarrow R_t \bullet, j, p, q, k \rangle \\ \langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle}{\langle h, N \leftarrow \alpha M \bullet \beta, i, u \cup r, v \cup s, k \rangle} \quad \text{label}(M) = \text{label}(R_t) \quad (\text{AdjoinPf})$$

But  $(u, v)$  or  $(r, s)$  equals  $(-, -)$

$\Rightarrow$  (Case analysis) splitting rule into 2 sub-rules  $\Rightarrow O(n^8) \Rightarrow$  not sufficient !

# Splitting and intermediary structures

Split (AdjoinPf) into 2 successive steps with an intermediary structure

$$[M \leftarrow \gamma \bullet, j, r, s, k]$$

This intermediary structure combines the aux. tree with the subtree rooted at  $M$

$$\frac{\langle j, \top \leftarrow R_t \bullet, j, p, q, k \rangle}{\begin{aligned} &\langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle \\ &[M \leftarrow \gamma \bullet, j, r, s, k] \end{aligned}} \quad (\text{AdjoinPf-1})$$

$$\frac{\begin{aligned} &\langle h, N \leftarrow \alpha \bullet M \beta, i, u, v, j \rangle \\ &[M \leftarrow \gamma \bullet, j, r, s, k] \\ &\langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle \end{aligned}}{\langle h, N \leftarrow \alpha M \bullet \beta, i, u \cup r, v \cup s, k \rangle} \quad (\text{AdjoinPf-2})$$

# Projection

$$\frac{\langle j, \top \leftarrow R_t \bullet, j, p, q, k \rangle}{\langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle} \quad [M \leftarrow \gamma \bullet, j, r, s, k] \quad (\text{AdjoinPf-1})$$

Involves 7 indexes  $\{j, p, q, k, h, r, s\}$  but  $h$  not consulted

$$\frac{\langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle}{\langle \star, M \leftarrow \gamma \bullet, p, r, s, q \rangle} \quad (\text{Proj})$$

$$\frac{\langle j, \top \leftarrow R_t \bullet, j, p, q, k \rangle}{\langle \star, M \leftarrow \gamma \bullet, p, r, s, q \rangle} \quad [M \leftarrow \gamma \bullet, j, r, s, k] \quad (\text{AdjoinPf-1})$$

Finally,  $O(n^6)$  time complexity

## Case of (AdjoinPf-2)

$$\frac{\langle h, N \leftarrow \alpha \bullet M\beta, i, u, v, j \rangle \\ [M \leftarrow \gamma \bullet, j, r, s, k] \\ \langle h, M \leftarrow \gamma \bullet, p, r, s, q \rangle}{\langle h, N \leftarrow \alpha M \bullet \beta, i, u \cup r, v \cup s, k \rangle}$$

(AdjoinPf-2)

10 indexes  $\Rightarrow$  Raw complexity in  $O(n^{10})$

At least one pair in  $(u, v)$  or  $(r, s)$  equals  $(-, -)$ ;

Case splitting  $\Rightarrow O(n^8)$

Pair  $(p, q)$  not consulted; projection  $\Rightarrow O(n^6)$

Rule splitting, intermediary structures, and projections decrease complexities but increase the number of steps  
To be practically validated !

Designing a tabular algorithm for TAGs is complex!

- Designing items
- Understanding the invariants
- Formulating the deductive rules (simultaneously handling tabulation and strategy)
- Optimizing rules (splitting and projections)

How to adapt for close formalisms such as [Linear Indexed Grammars](#) [LIG]?

$$A_0([ \circ \circ x ]) \leftarrow A_1([]) \dots A_k([ \circ \circ y ]) \dots A_n([])$$

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# From formalisms to automata

Methodology:

- Automata are operational devices used to describe the steps of **Parsing Strategies**
- Dynamic Programming interpretations of automata used to identify context-free subderivations that may be tabulated.

| Formalisms | Automata                         | Tabulation           | Notes                                 |
|------------|----------------------------------|----------------------|---------------------------------------|
| RegExp     | FSA                              | -                    |                                       |
| CFG        | PDA                              | $O(n^3)$             | Lang                                  |
| TAG / LIG  | 2-Stack Automata<br>Embedded PDA | $O(n^6)$<br>$O(n^6)$ | Becker, Clergerie & Pardo<br>Nederhof |

**Problem:** 2-stack automata (or EPDA) have the power of Turing Machine (intuition) moving left- or rightward  $\equiv$  pushing on first or second stack & popping the other one  
 $\Rightarrow$  need restrictions

# 2-stack automata for TAGs

Solution: stack asymmetry

Master Stack: to keep trace of uncompleted tree traversals

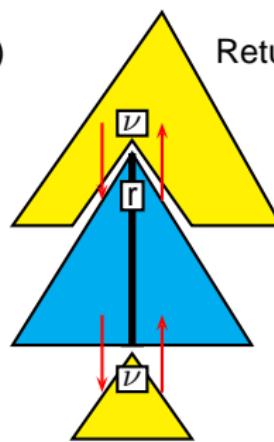
Auxiliary Stack: only to keep trace of uncompleted adjunctions

Adjunction info: (top-down)  $\bar{\nu}^n = \nu$  and (bottom-up)  $\underline{\nu}_n = \perp$

$\bullet T, T^*, B, B^*$ : prediction and propagation info about top and bottom node decorations (Feature TAGs)

Calls (top-down prediction)

Returns (bottom-up propagation)



# 2-stack automata for TAGs

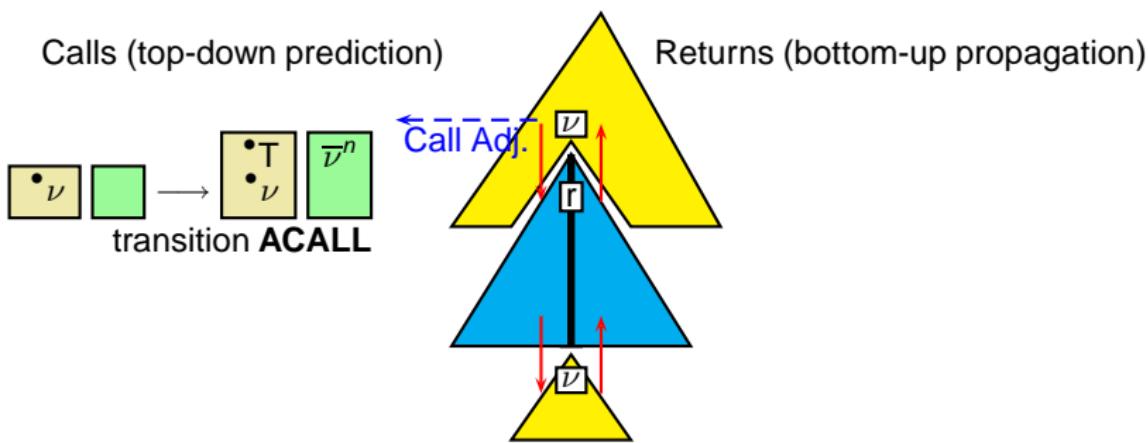
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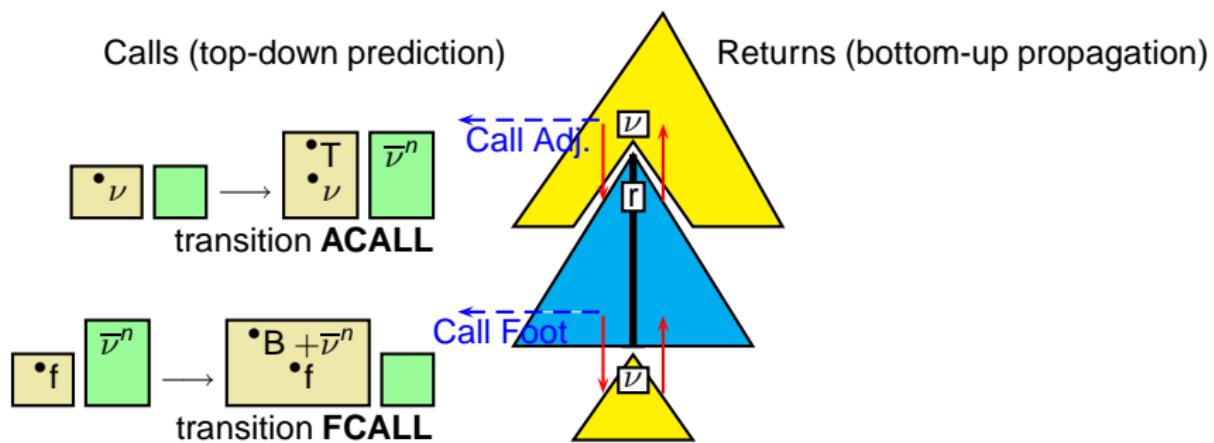
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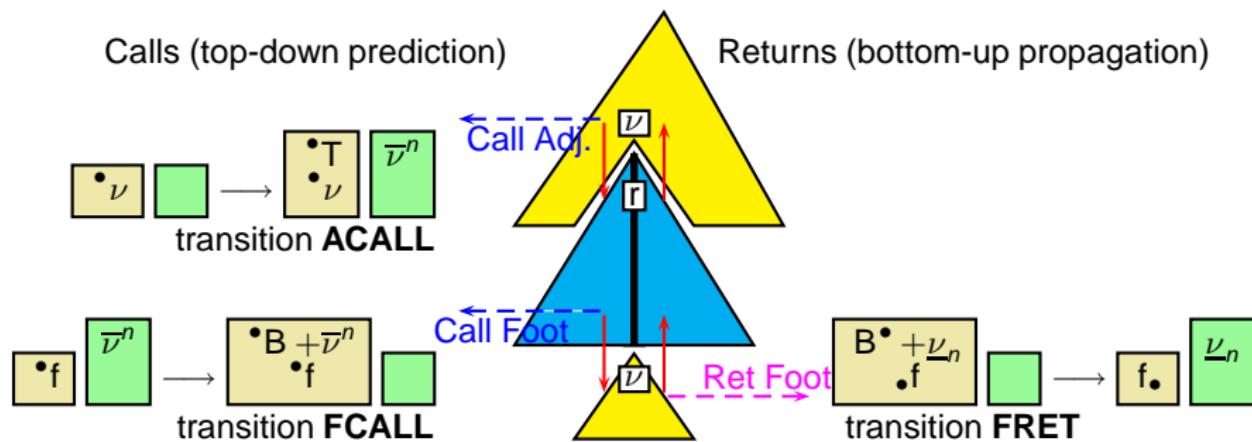
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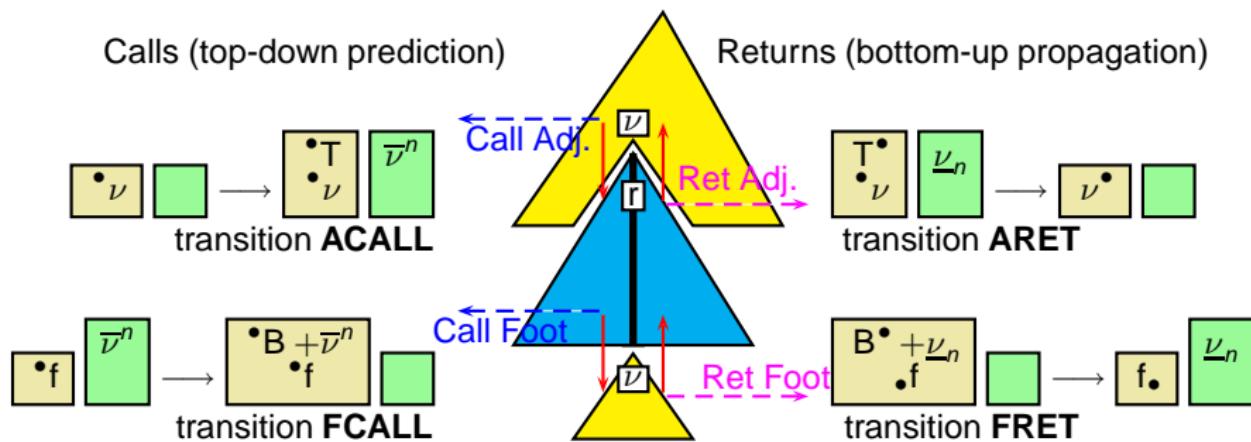
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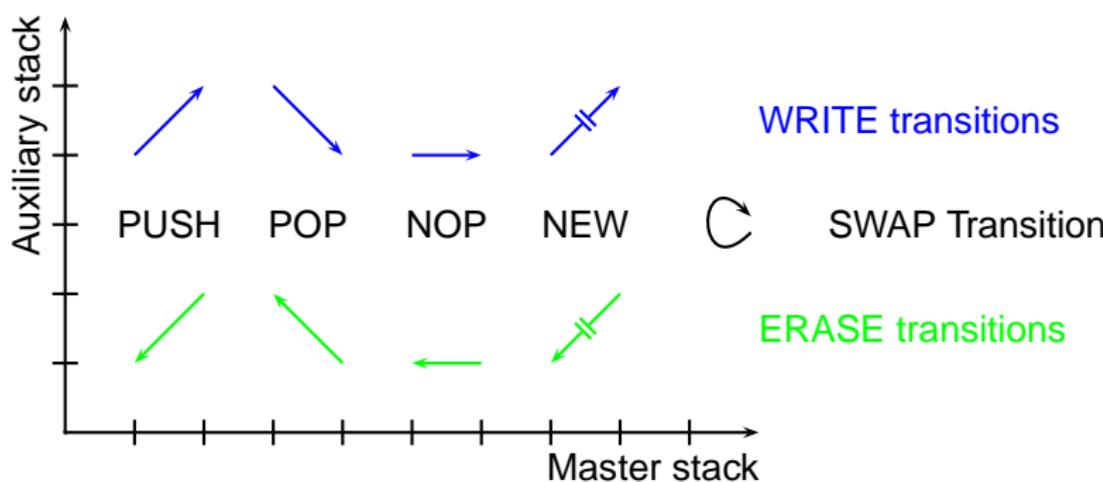


# Transitions

Retracing in **erase** mode concerns only the size of **AS** (not its content).

Retracing possible because :

*WRITE* transitions leave **marks** (*PUSH*, *POP*, *NOP*, *NEW*) in the Master Stack that can only be removed by a dual *ERASE* transition.

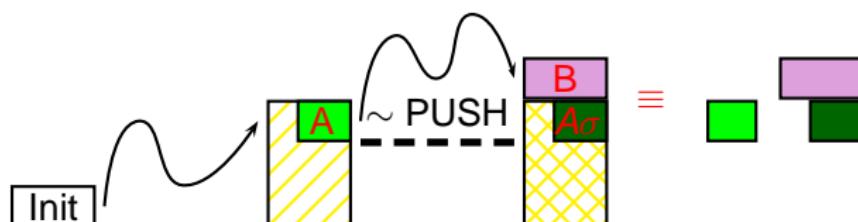


Dynamic Programming : Recursive decomposition of problems into elementary subproblems that may be **combined**, **tabulated**, and **reused**  
eg the knapsack problem

# Context-Free derivation for PDAs

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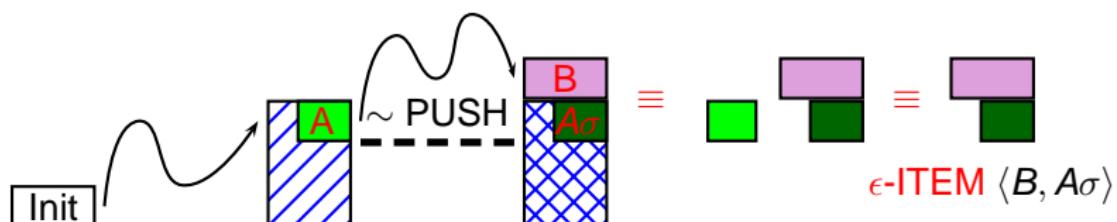
For PDAs, derivations broken into elementary Context-Free sub-derivations:



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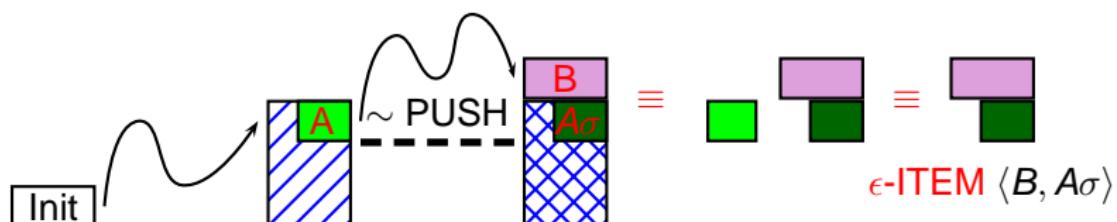
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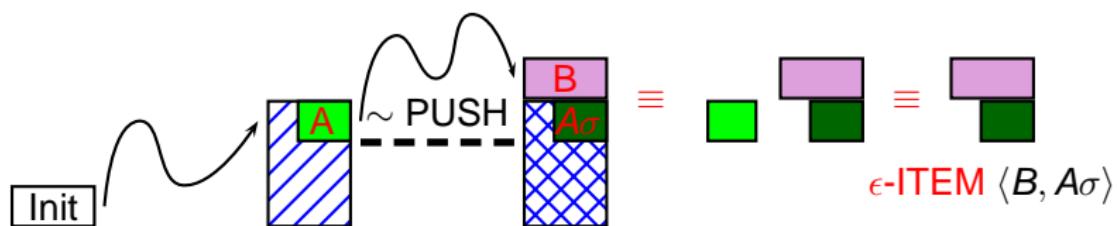
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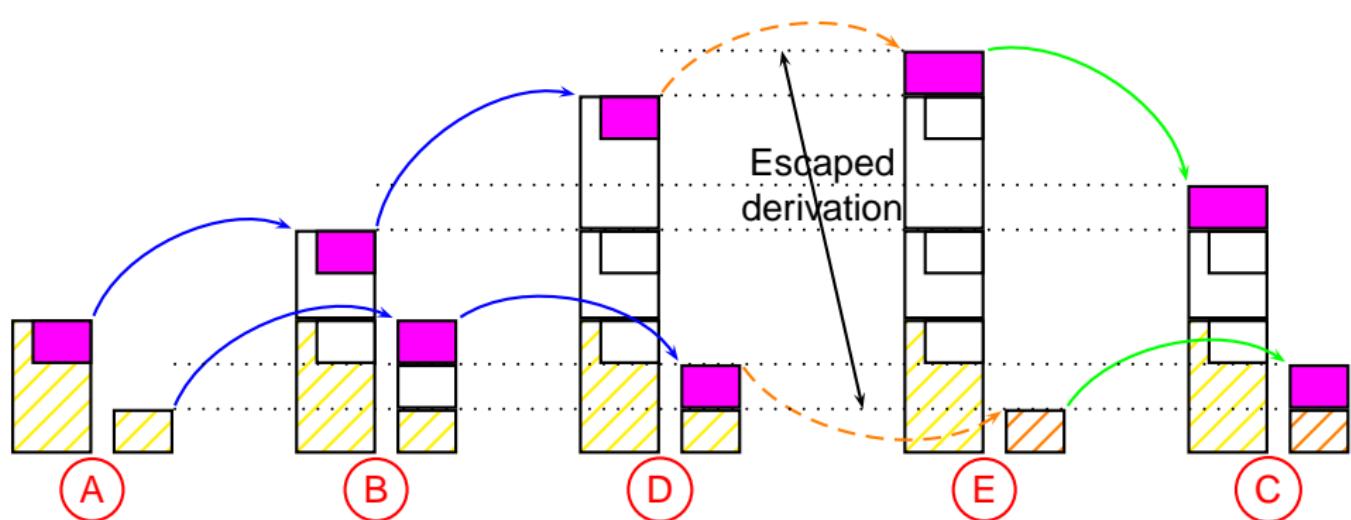
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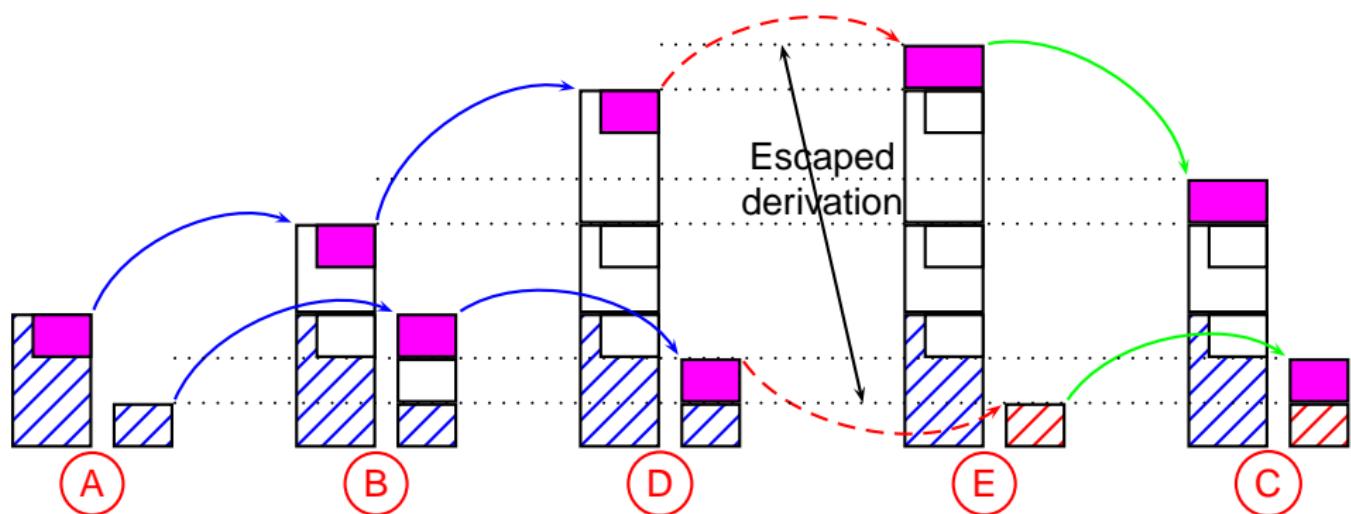


A is the fraction  $\epsilon$  of information consulted to trigger the subderivation and not propagated to B.

# (Escaped) CF derivations for 2SA



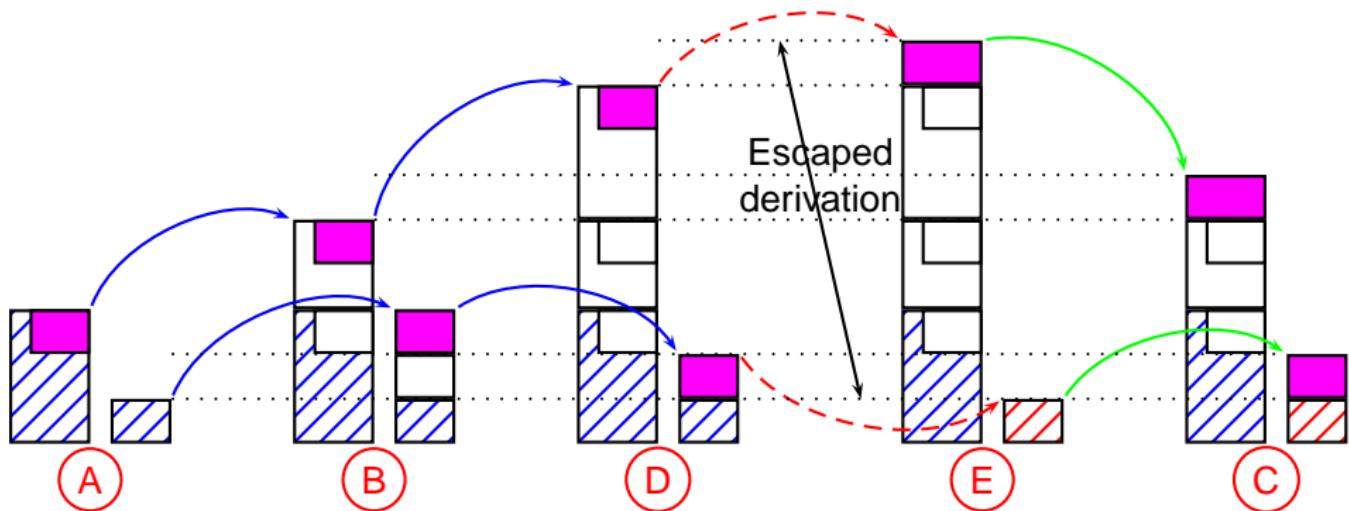
# (Escaped) CF derivations for 2SA



$\Rightarrow$  5-point xCF items  $AB[DE]C = \langle \epsilon A \rangle \langle \epsilon B, b \rangle [\langle \epsilon D, d \rangle \langle E \rangle] \langle C, c \rangle$   
[TAG]  $\rightsquigarrow \langle \epsilon A \rangle \langle \epsilon B \rangle [\langle \epsilon D \rangle \langle E \rangle] \langle C \rangle$

When no escaped part  $\Rightarrow$  3-point CF items  $ABC = \langle \epsilon A \rangle \langle \epsilon B, b \rangle \langle C \rangle$

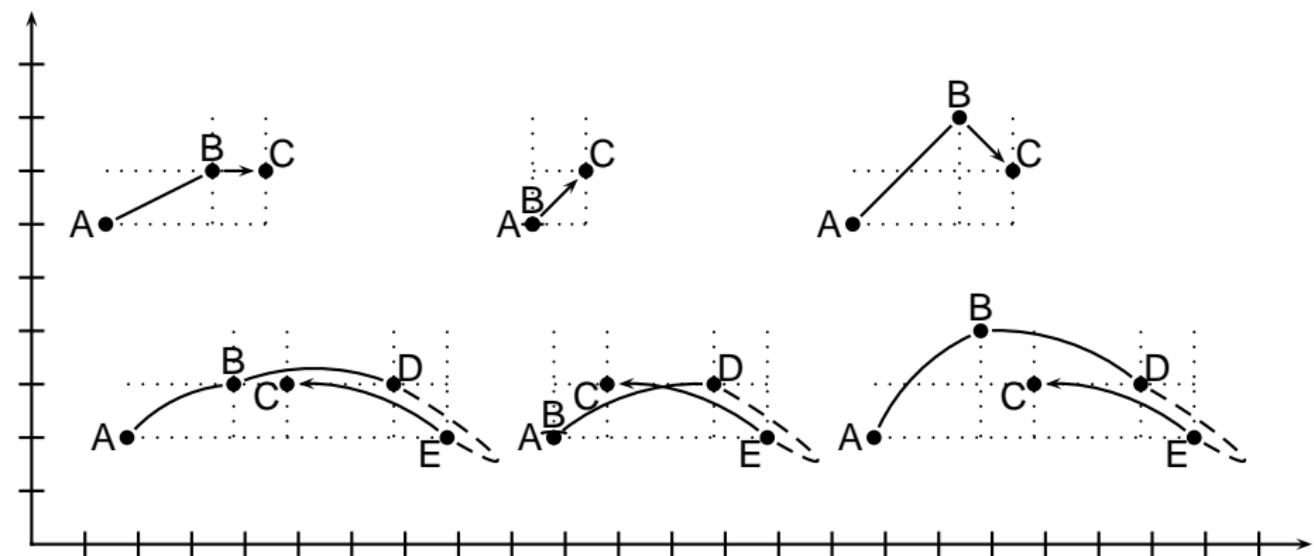
(new generalization) escaped part [DE] may take place between A and B



- A root of elementary tree
- B start of adjoining
- C current position in the tree
- D and E left and right borders of the foot

# Item shapes

At most 5 indexes per items  $\Rightarrow$  Space complexity in  $O(n^5)$   
SD-2SA restrictions & transition kinds  $\Rightarrow$  6 possible item shapes



# Combining items and transitions

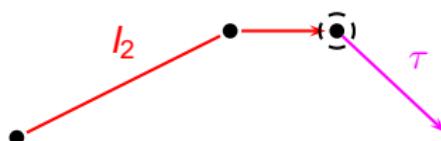
By graphically playing with items and transitions, we find 10 composition rules with  $O(n^8)$  time complexity  
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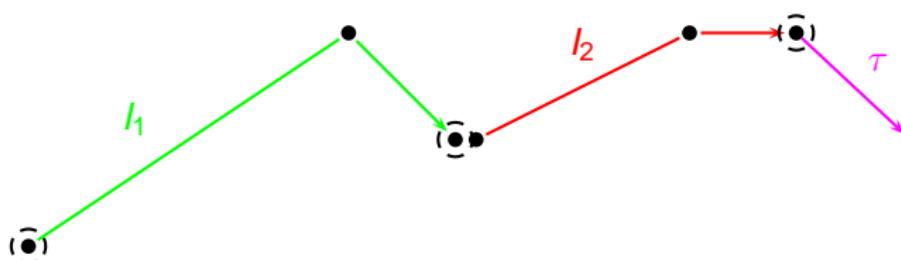
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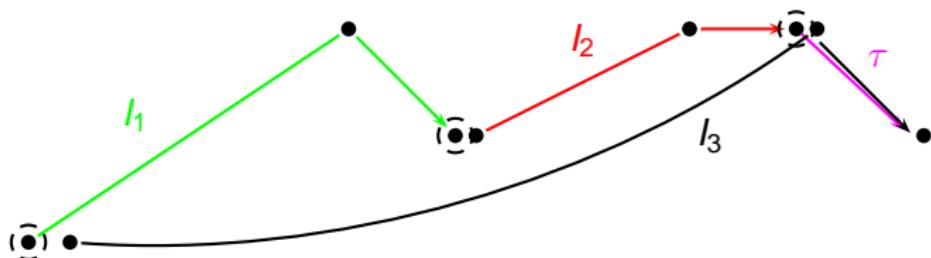
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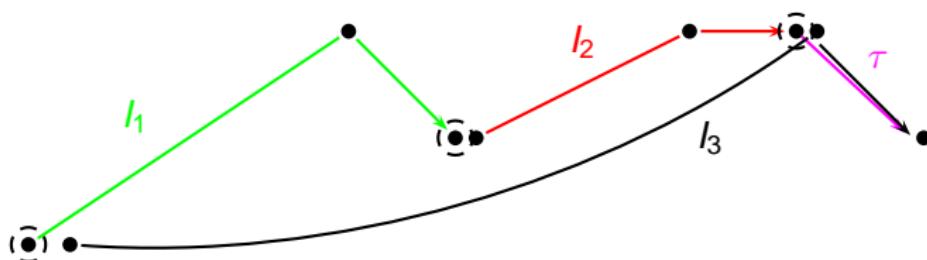
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Consultation of 3 indexes  $\textcircled{\bullet}$   $\Rightarrow$  Complexity  $O(n^3)$

## Combining items and transitions (2)

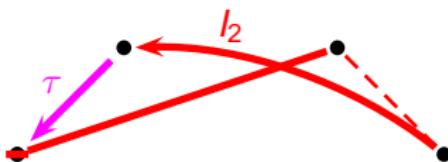
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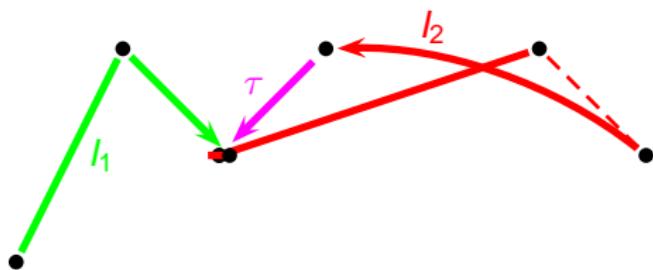
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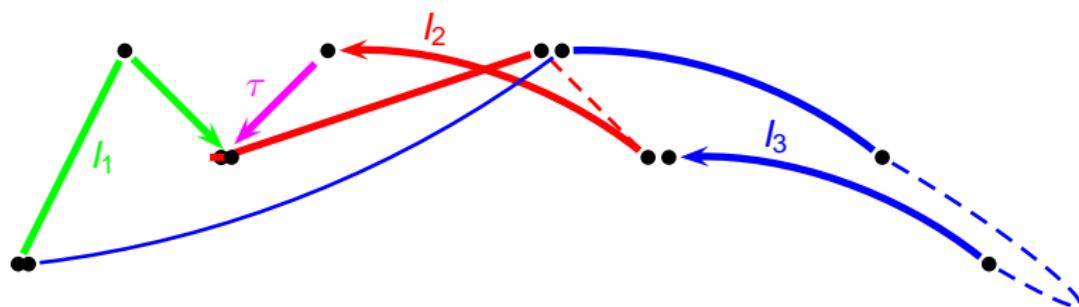
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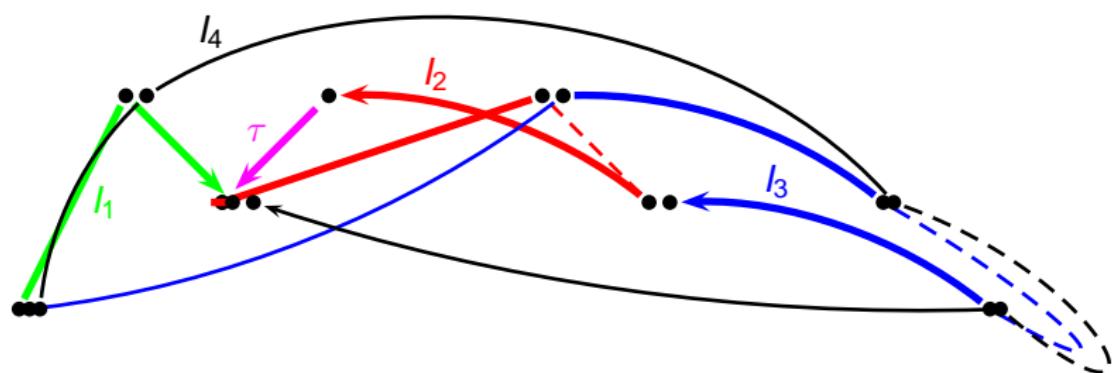
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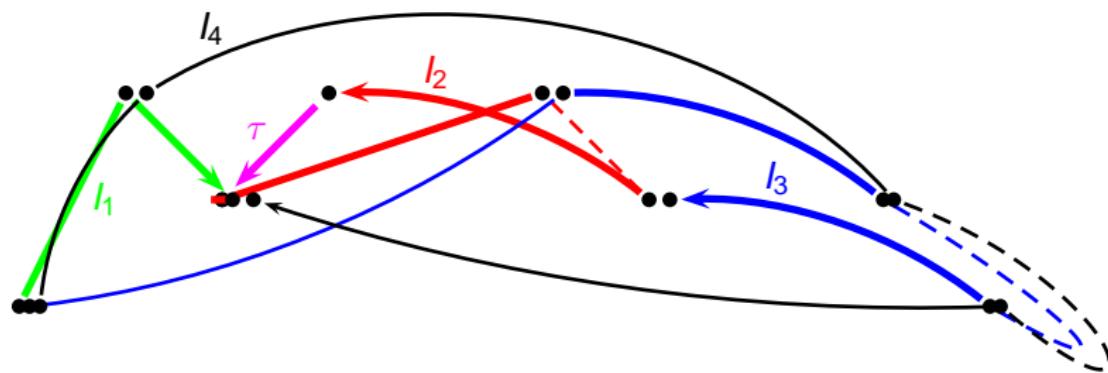
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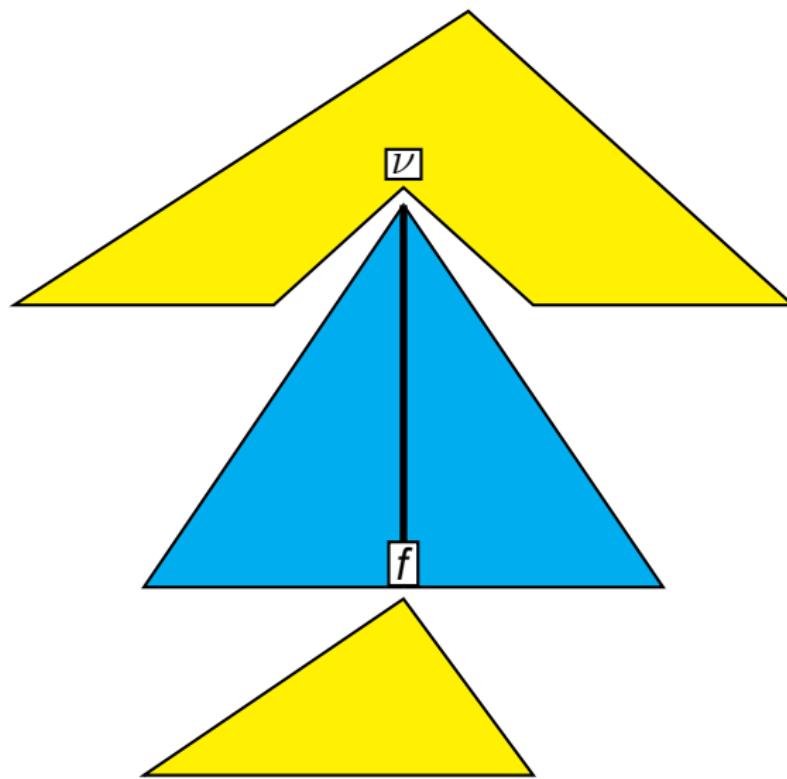
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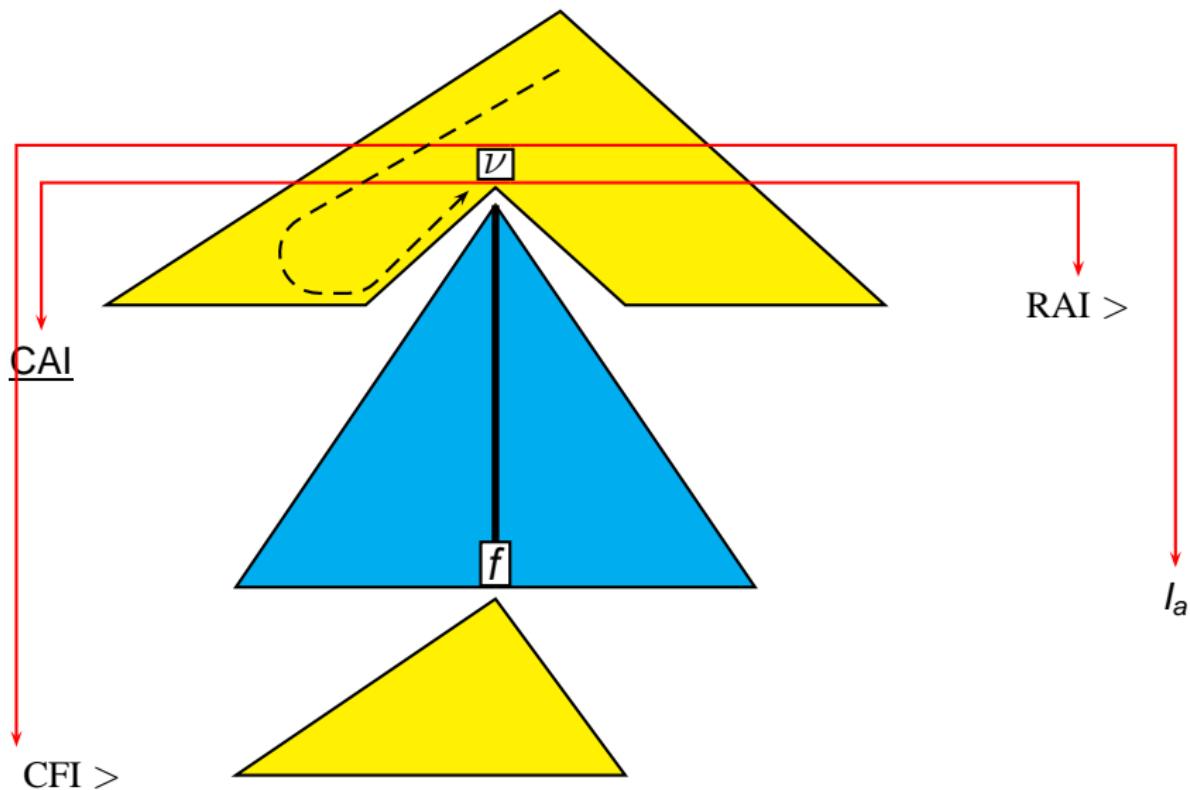


- Consultation of 8 indexes  $\Theta \Rightarrow$  Complexity  $O(n^8)$
- need to decompose, project and use intermediary steps (as seen before)

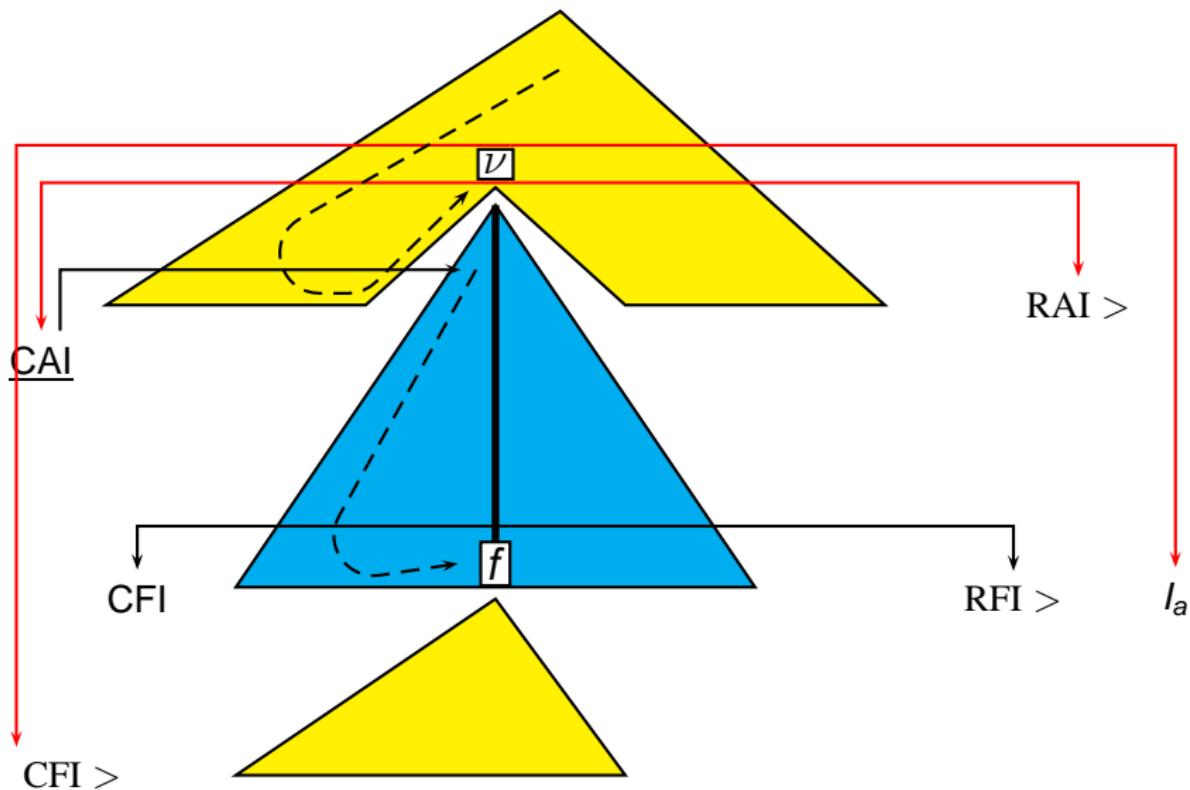
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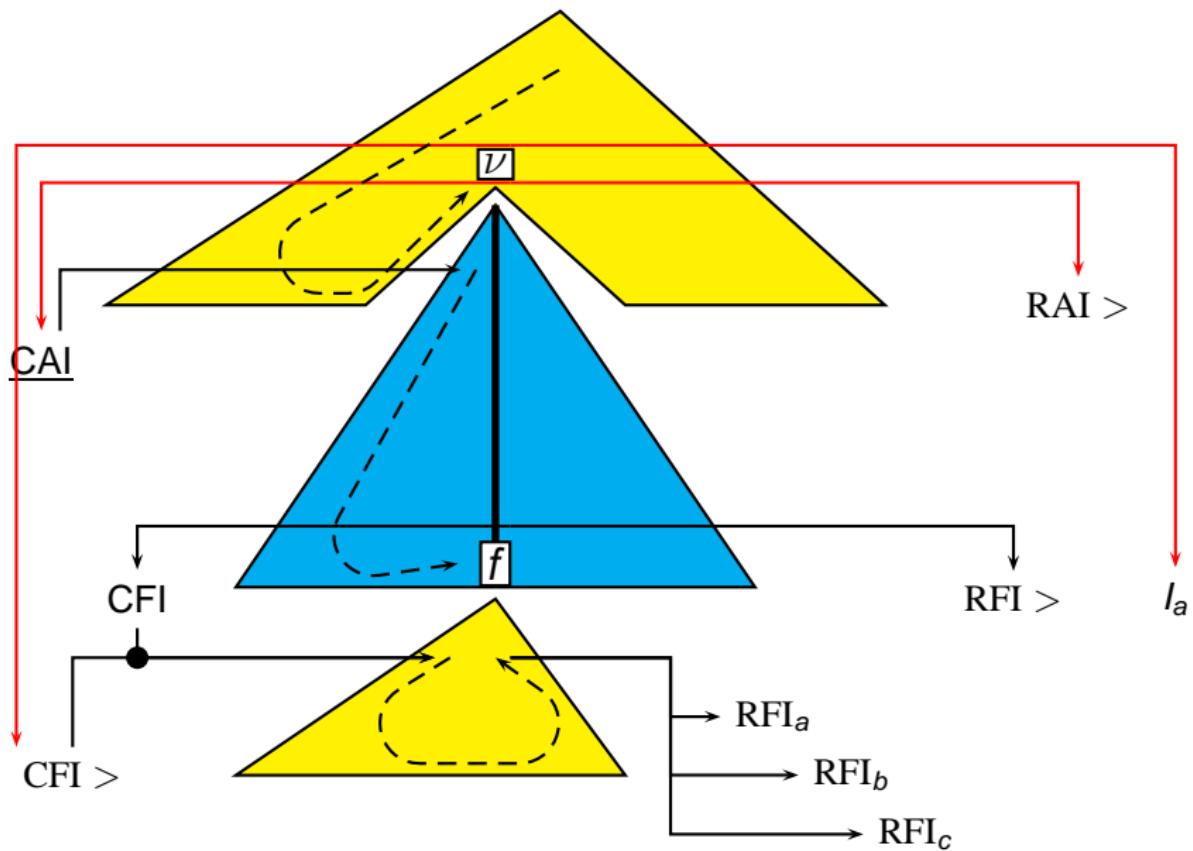
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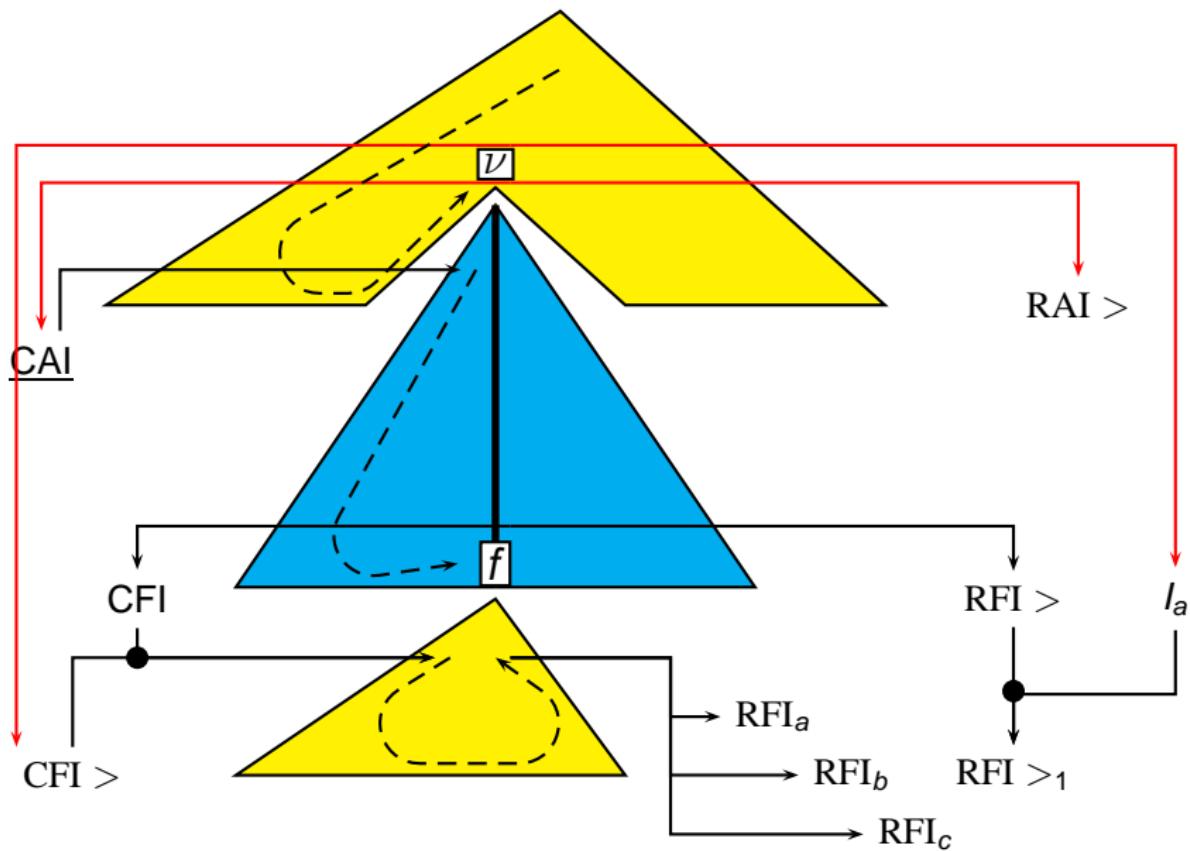
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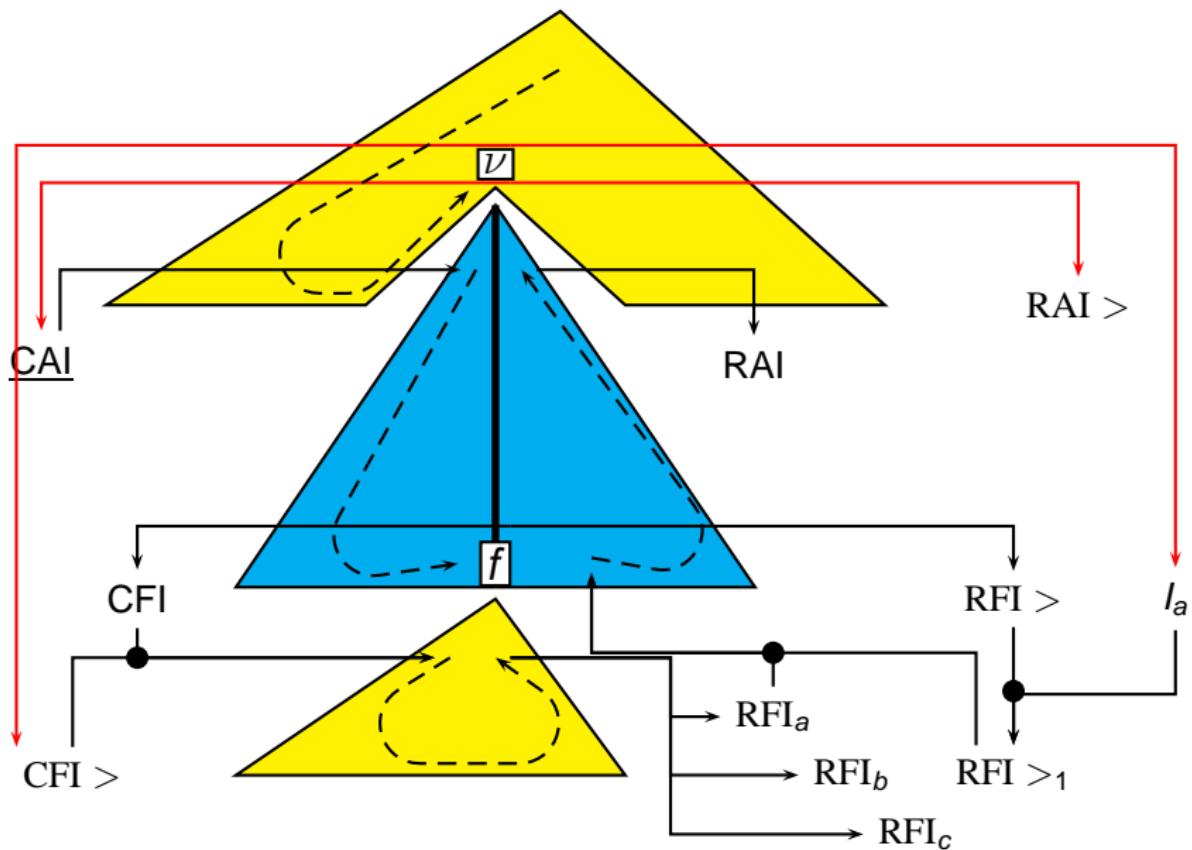
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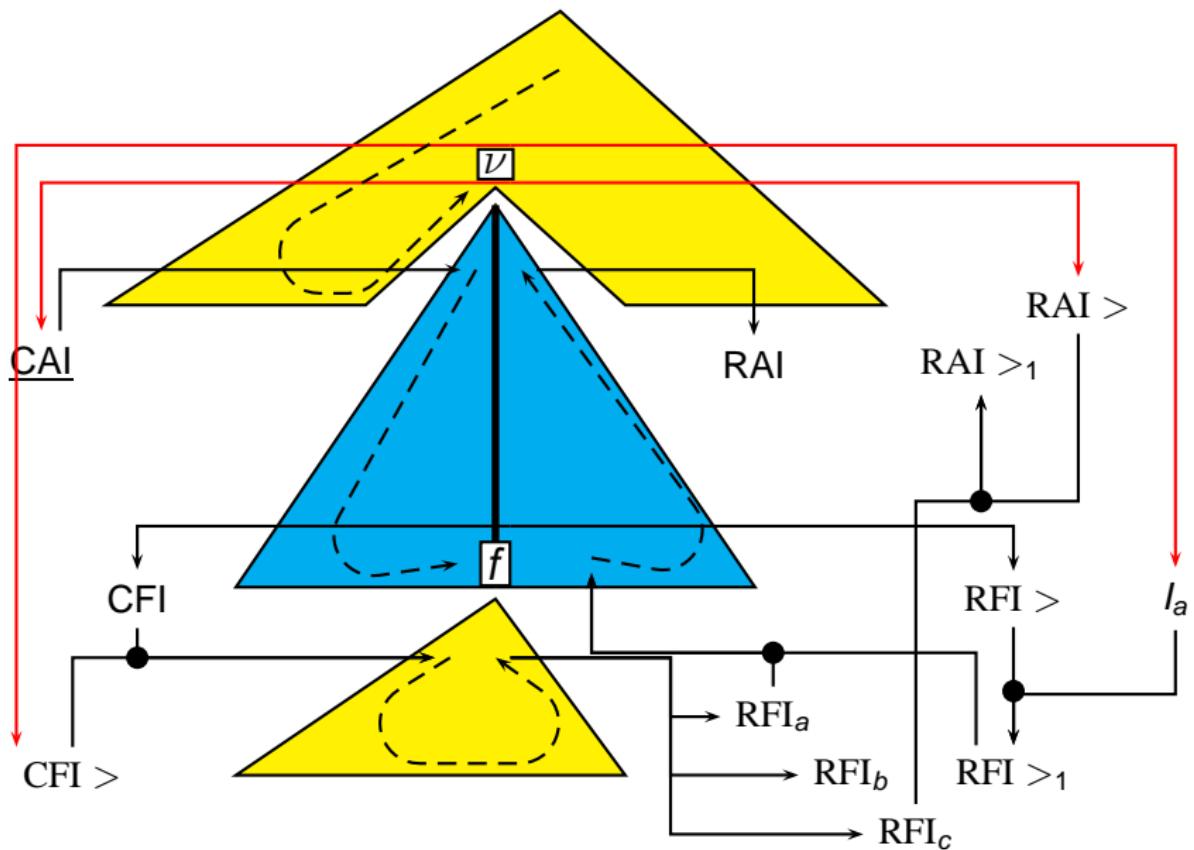
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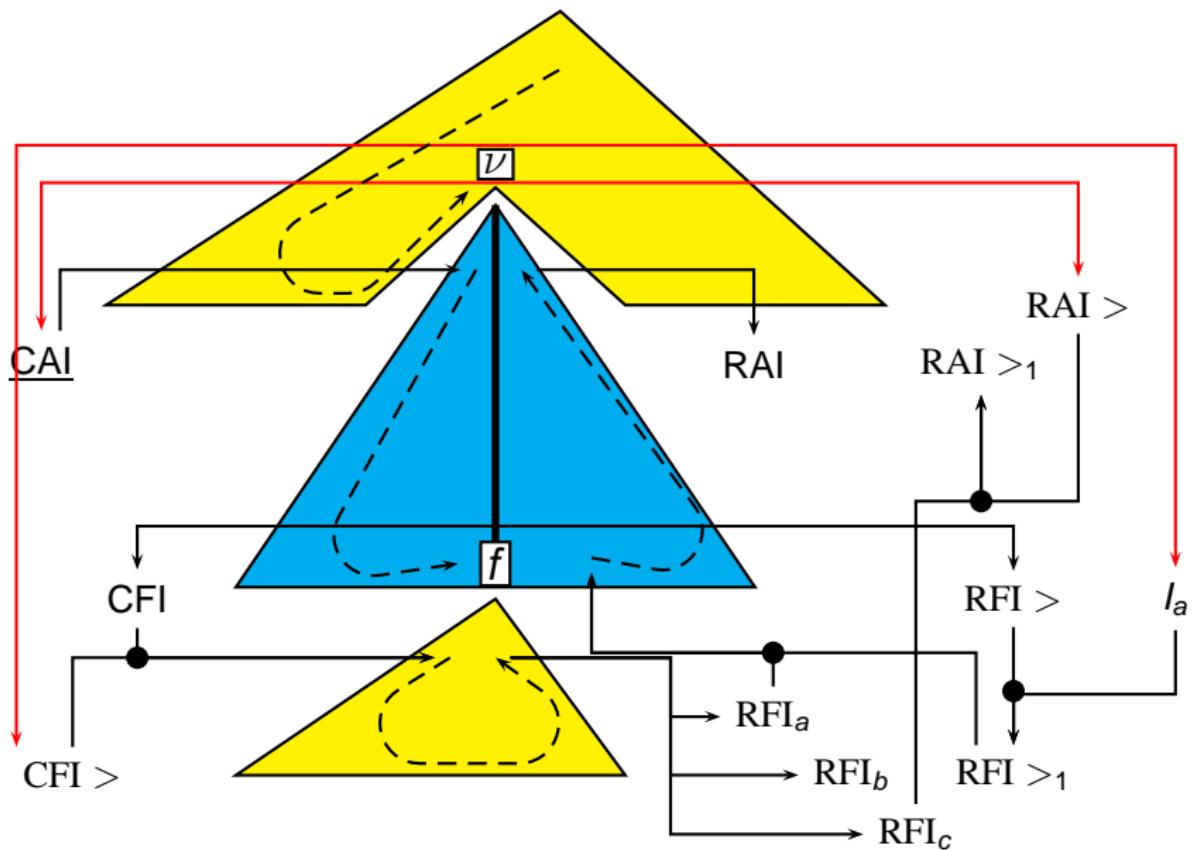
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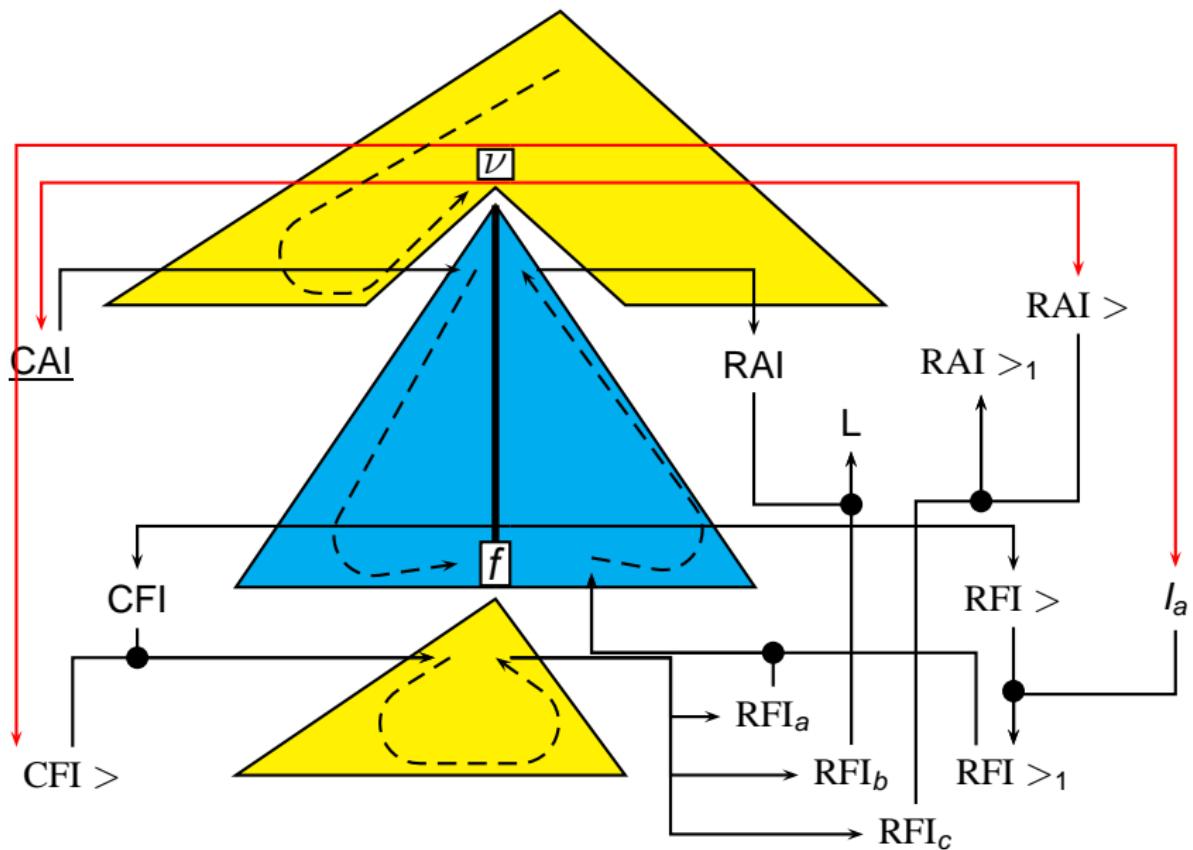
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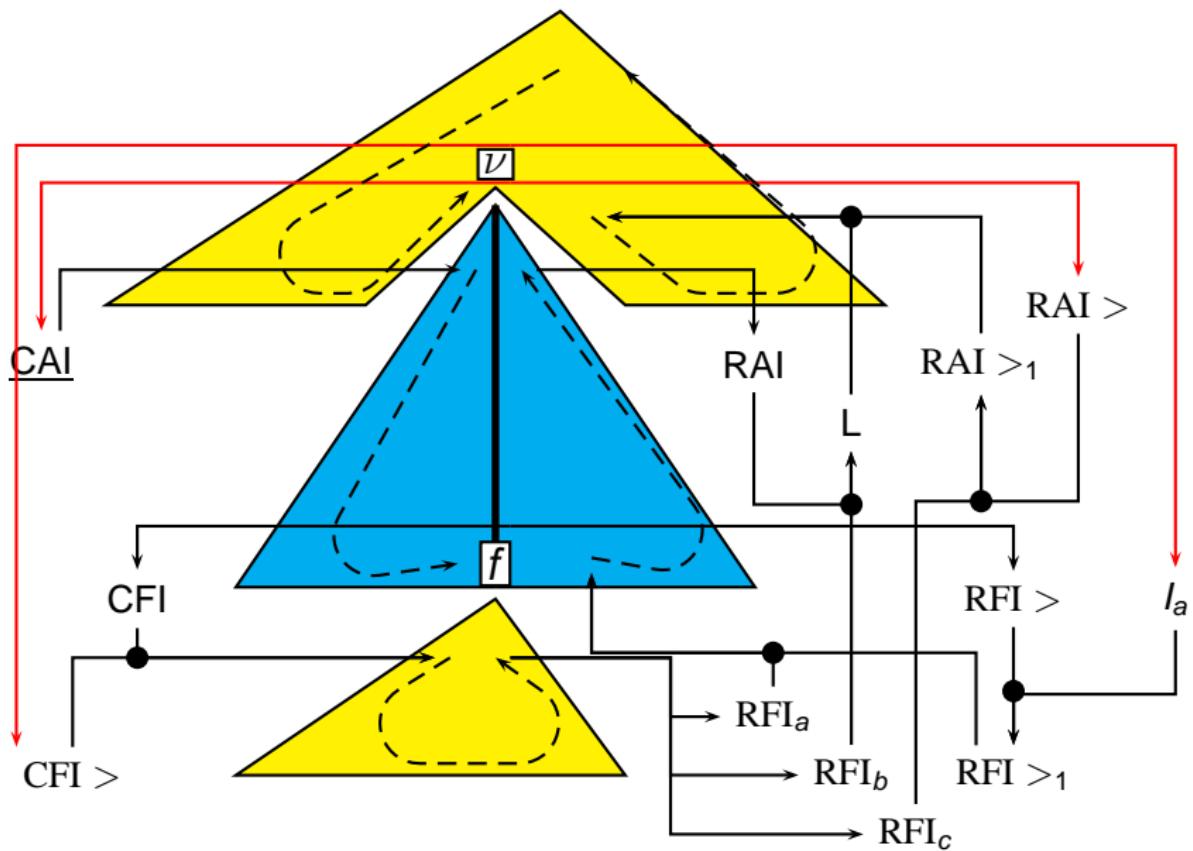
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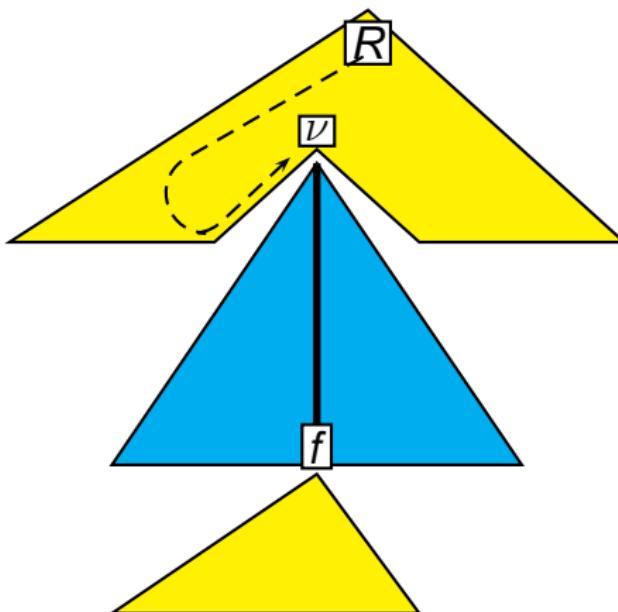
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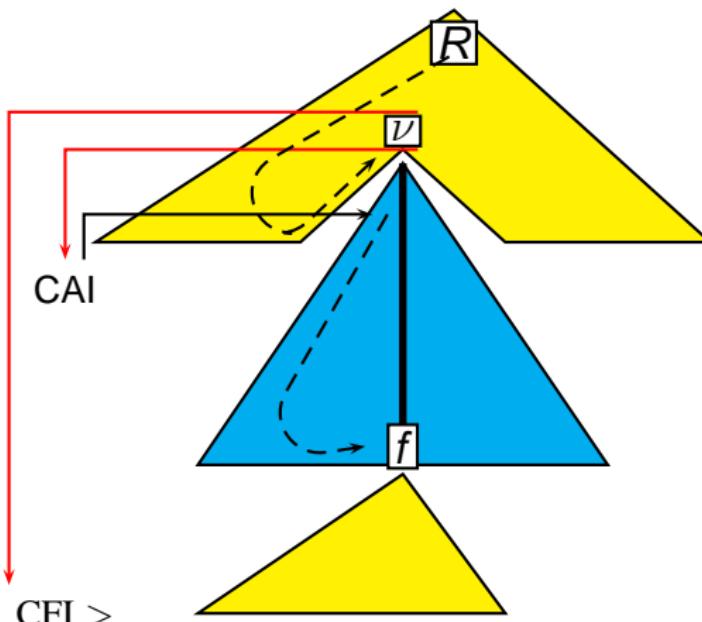


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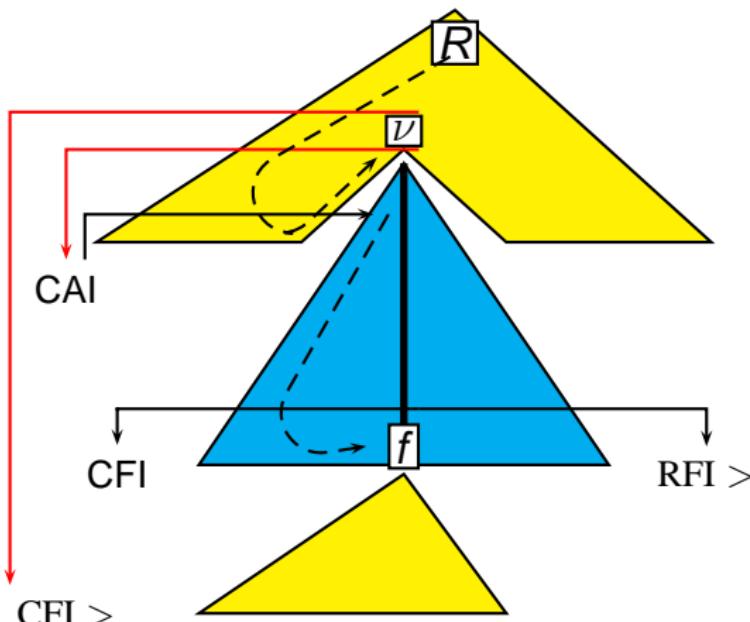
- Not the optimal worst case complexity (because yellow subtree traversed in the context of larger yellow subtree, keeping trace of unfinished adjoinings)
- But more efficient in practice !
- And suggesting extensions, based on the idea of continuation

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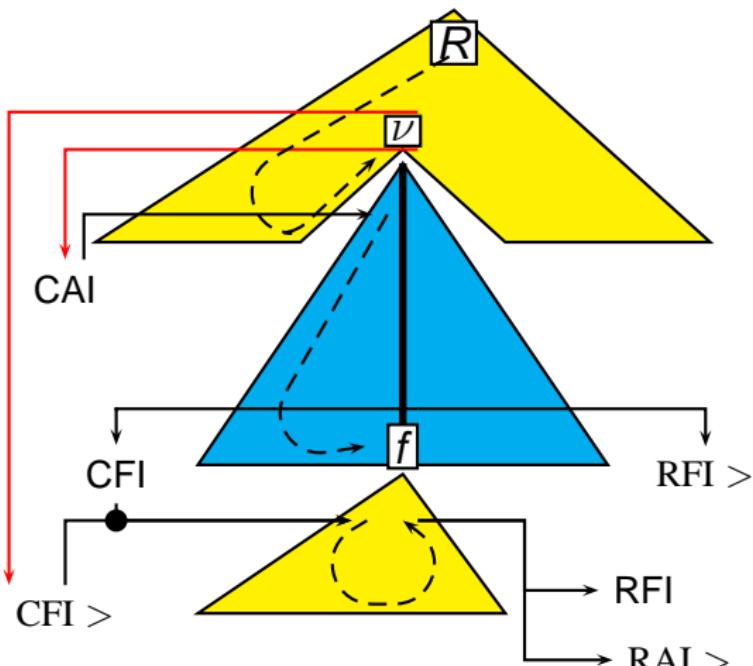
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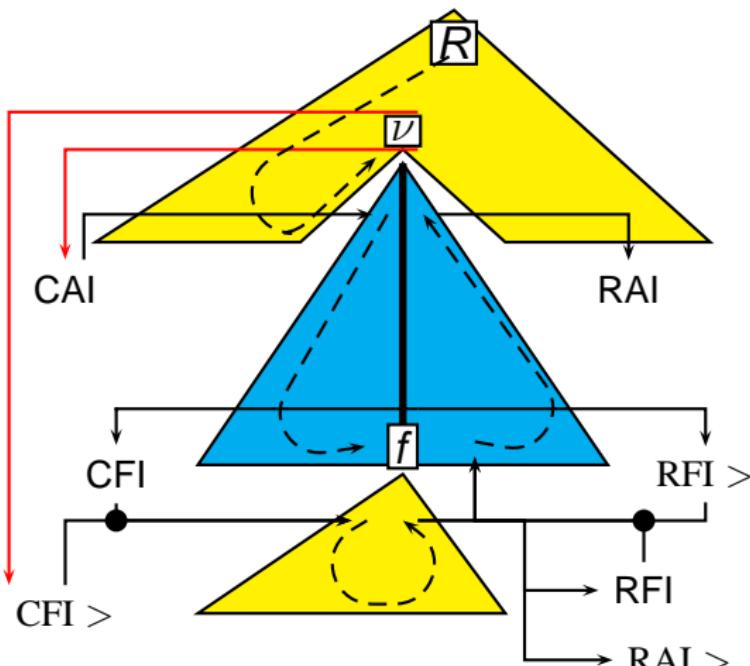
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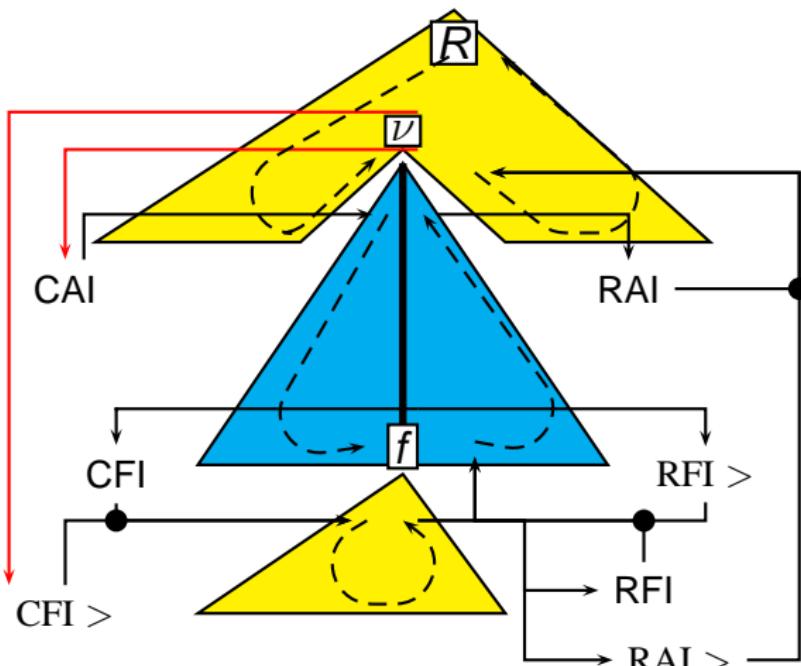
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- 1 Some background about TAGs
- 2 Deductive chart-based TAG parsing
- 3 Automata-based tabular TAG parsing
- 4 Thread Automata and MCS formalisms
- 5 A Dynamic Programming interpretation for TAs
- 6 Practical aspects about TAG parsing
- 7 Conclusion

## Mildly Context Sensitivity

An informal notion covering formalisms such that:

- they are powerful enough to model crossing, such as  $a^n b^n c^n$
- they are parsable with polynomial complexity
- they generate languages satisfying the constant growth property

$$\forall \exists G, G \text{ finite }, \exists n_0, \forall w \in \mathcal{L}, |w| > n_0 \Rightarrow \exists g \in G, \exists w' \in \mathcal{L}, |w| = |w'| + g$$

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Some MCS languages:

- TAGs and LIGs
- Local Multi Component TAGs (MC-TAGs Weir)
- Linear Context-Free Rewriting Systems (LCFRS Weir)
- Simple Range Concatenation Grammars (sRCG Boullier)

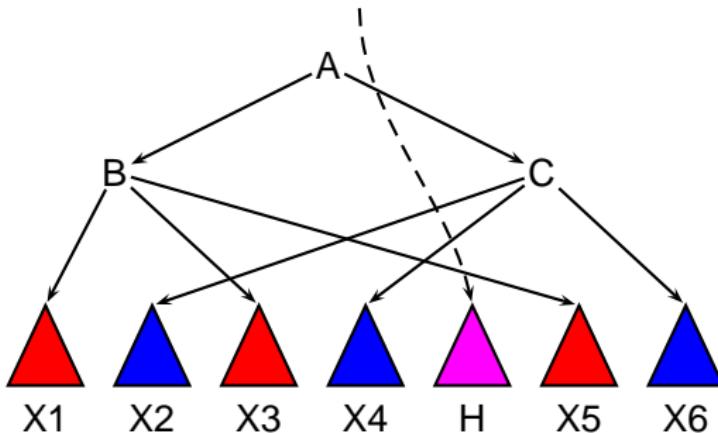
# MCS: discontinuity and interleaving

Discontinuous interleaved constituents present in linguistic phenomena

Nesting, Crossing, Topicalization, Deep extraction, Complex Word-Order ...

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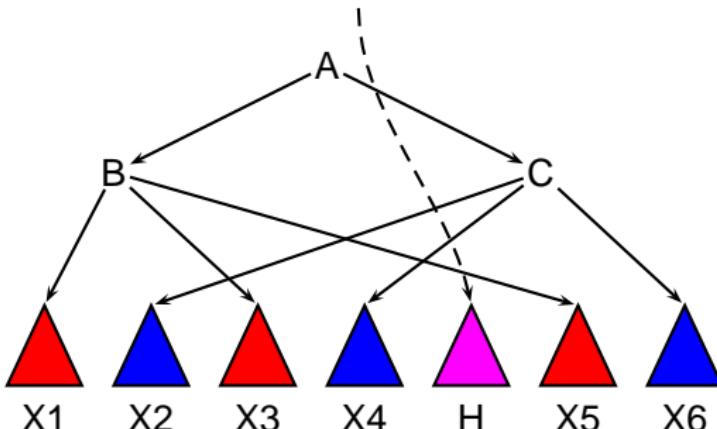
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- **LFCRS:**  $A \leftarrow f(B, C)$ ,  $f$  linear non erasing function on string tuples.

$$f(\langle x_1, x_3, x_5 \rangle, \langle x_2, x_4, x_6 \rangle) = \langle x_1 x_2 x_3 x_4, x_5 x_6 \rangle$$

- **sRCG**  $A(x_1.x_2.x_3.x_4, x_5.x_6) \leftarrow B(x_1, x_3, x_5), C(x_2, x_4, x_6)$   
range variables  $x_i$ ; concatenation “.”; holes “,”

- MCS have theoretical polynomial complexity  $O(n^u)$  depending upon
  - ▶ degree of discontinuity, (also fanout, arity)
  - ▶ degree of interleaving, (also rank)
- But no uniform framework to express parsing strategies and tabular algorithms
  - ▶ operational device: Deterministic Tree Walking Transducer (Weir), but no tabular algorithm
  - ▶ operational formalism sRCG with tabular algorithm (Boullier) but not for prefix-valid strategies

Notion of Thread Automata to model discontinuity and interleaving through the suspension/resume of threads.

**Idea:** Associate a *thread*  $p$  per constituent and

- create a subthread  $p.u$  for a sub-constituent [PUSH]
- suspend thread at constituent discontinuity,  
and (resume) either the parent thread [SPOP]  
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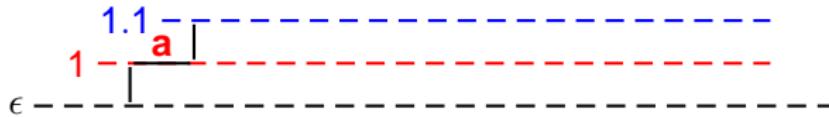
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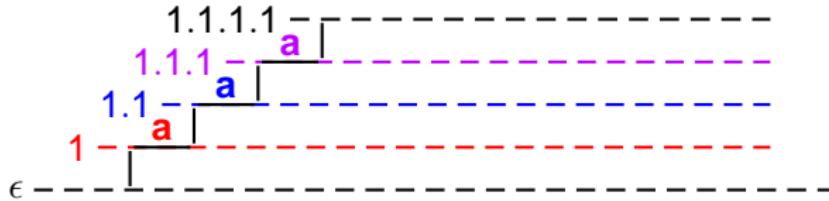


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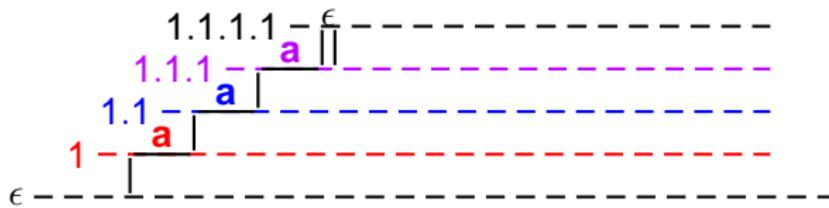


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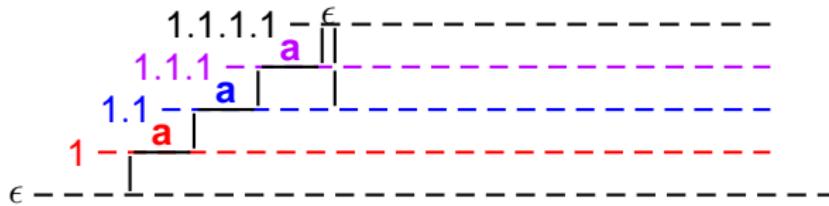


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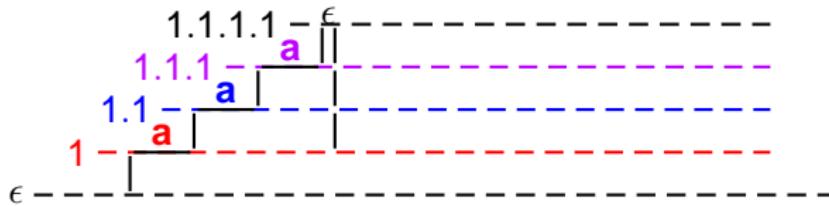


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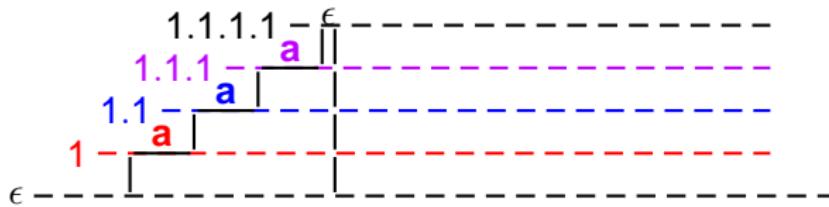


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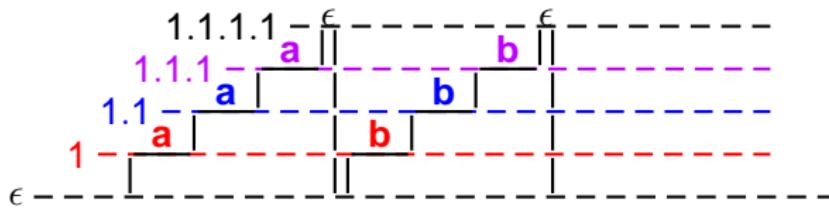


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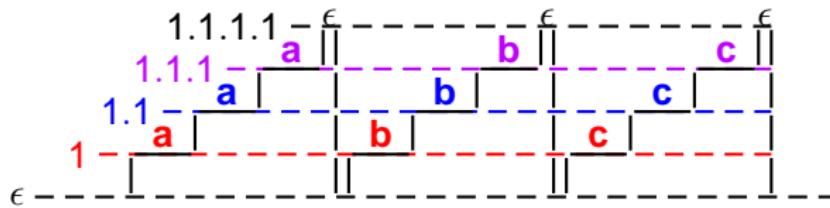


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Configuration  $\langle \text{position } I, \text{active thread path } p, \text{thread store } \mathcal{S} = \{p_i:A_i\} \rangle$

$\mathcal{S}$  closed by prefix:  $p.u \in \text{dom}(\mathcal{S}) \Rightarrow p \in \text{dom}(\mathcal{S})$

Note: **stateless** automata (but no problem for variants with states)

Triggering function  $a = \kappa(A)$  Capture the amount of information needed to trigger transitions.

$\Rightarrow$  useful to get linear complexity  $O(|G|)$  w.r.t. grammar size  $|G|$

Driver function  $u \in \delta(A)$  Drive thread creations and suspensions

$\Rightarrow$  reduce number of transitions (TA variants without  $\delta$  should be possible)

## Formal presentation of TA (cont'd)

**SWAP**  $B \xrightarrow{\alpha} C$  : Changes the content of the active thread, possibly scanning a terminal.

$$\langle I, p, \mathcal{S} \cup p:B \rangle \xrightarrow{\tau} \langle I + |\alpha|, p, \mathcal{S} \cup p:C \rangle \quad a_I = \alpha \text{ if } \alpha \neq \epsilon$$

**PUSH**  $b \mapsto [b]C$  : Creates a new subthread (unless present)

$$\langle I, p, \mathcal{S} \cup p:B \rangle \xrightarrow{\tau} \langle I, pu, \mathcal{S} \cup p:B \cup pu:C \rangle \quad (b, u) \in \kappa\delta(B) \wedge pu \notin \text{dom}(\mathcal{S})$$

**POP**  $[B]C \mapsto D$  : Terminates thread  $pu$  (if no existing subthreads).

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**SPUSH**  $b[C] \mapsto [b]D$  : Resumes the subthread  $pu$  (if already created)

$$\langle I, p, \mathcal{S} \cup p:B \cup pu:C \rangle \xrightarrow{\tau} \langle I, pu, \mathcal{S} \cup p:B \cup pu:D \rangle \quad (b, u^s) \in \kappa\delta(B)$$

**SPOP**  $[B]c \mapsto D[c]$  : Resumes the parent thread  $p$  of  $pu$

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# Characterizing Thread Automata

Key parameters:

- $h$  maximal number of suspensions to the parent thread  
 $h$  finite ensures termination (of tabular parsing)
- $d$  maximal number of simultaneously *alive* subthreads
- $l$  maximal number of subthreads
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$$\begin{aligned} & \text{space } O(n^u) \\ & \text{time } O(n^{1+u}) \end{aligned} \quad \left. \begin{array}{l} \text{where } \\ \left\{ \begin{array}{l} u = 2 + s + x \\ x = \min(s, (l - d)(h + 1)) \end{array} \right. \end{array} \right\}$$
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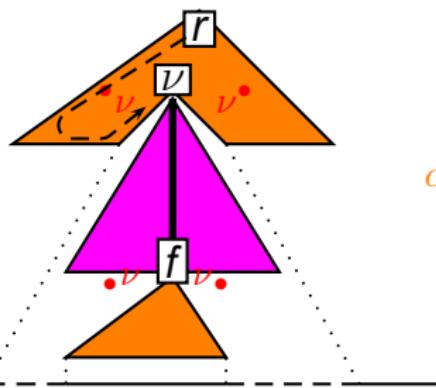
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Complexity w.r.t. underlying grammar  $G$ : depends on the parsing strategy  
but generally possible to get  $O(|G|)$ , instead of  $O(|G|^2)$

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Suspend and return to parent thread to handle a foot node

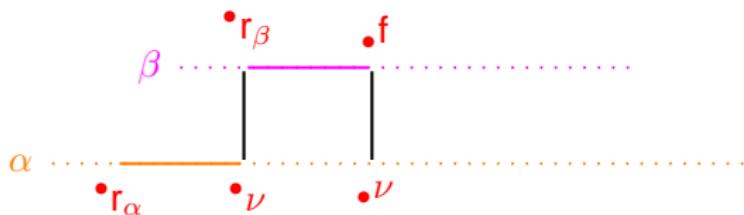
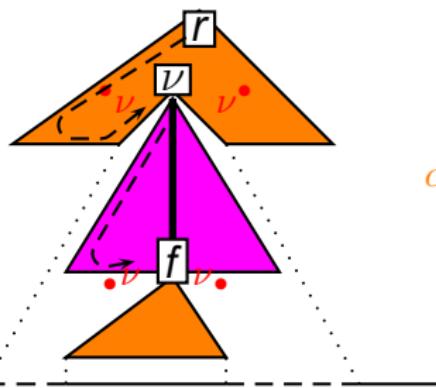
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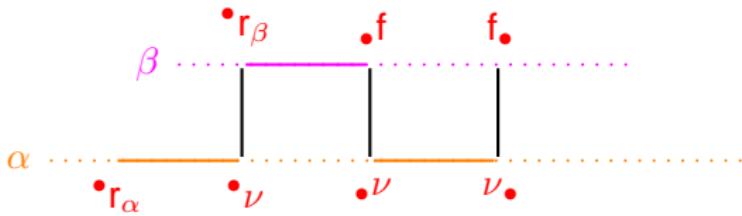
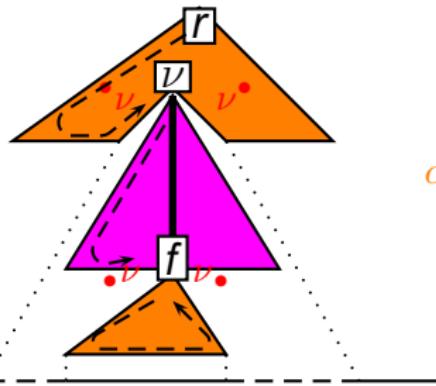
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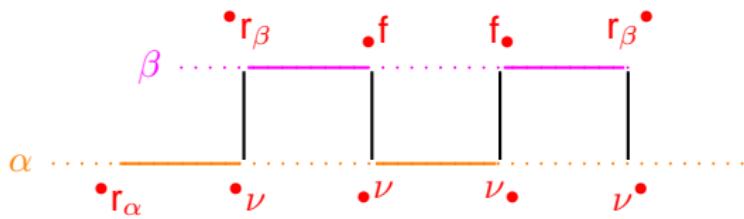
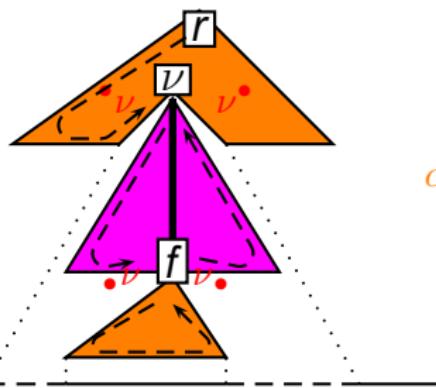
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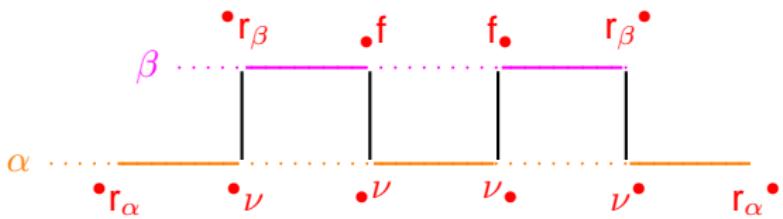
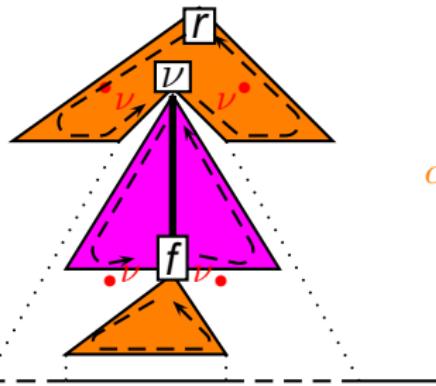
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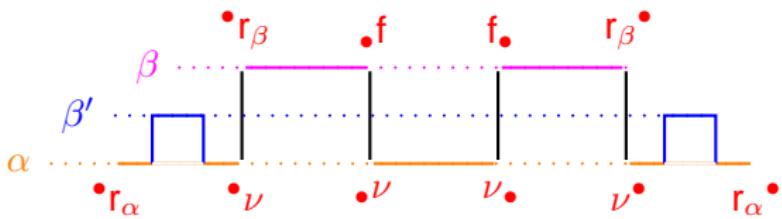
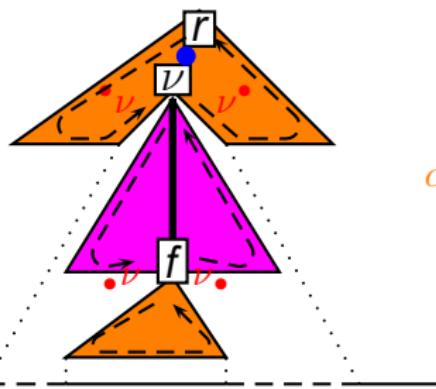
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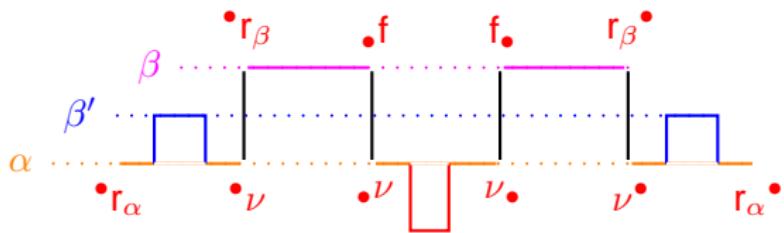
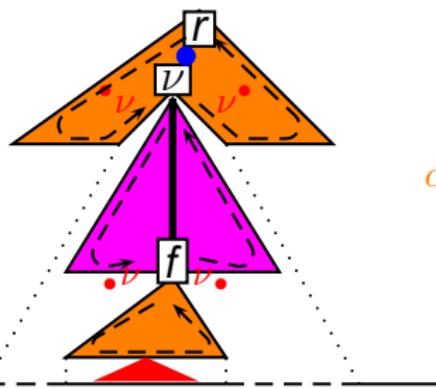
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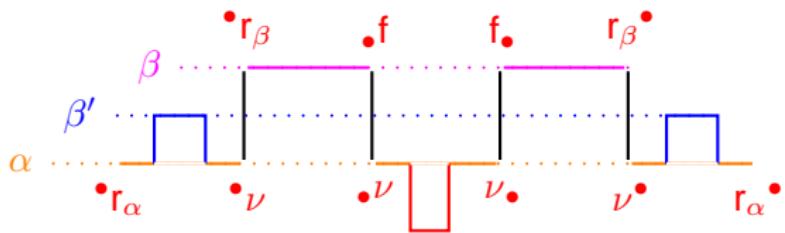
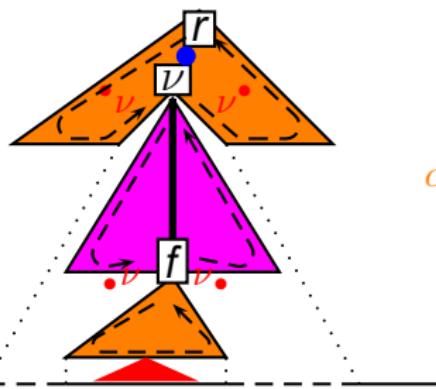
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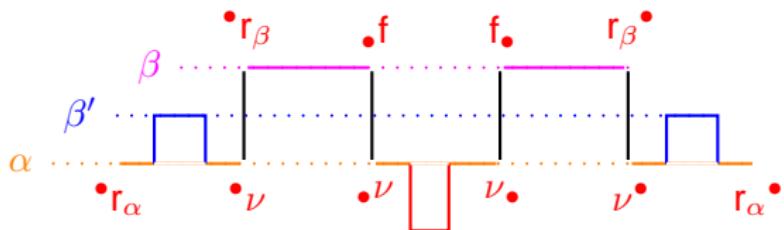
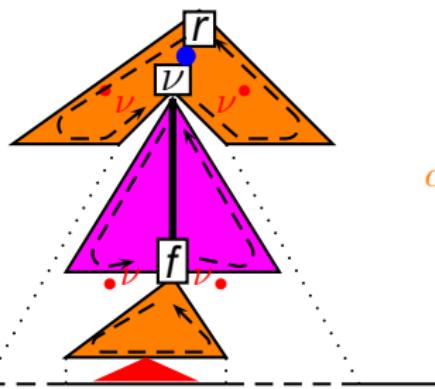
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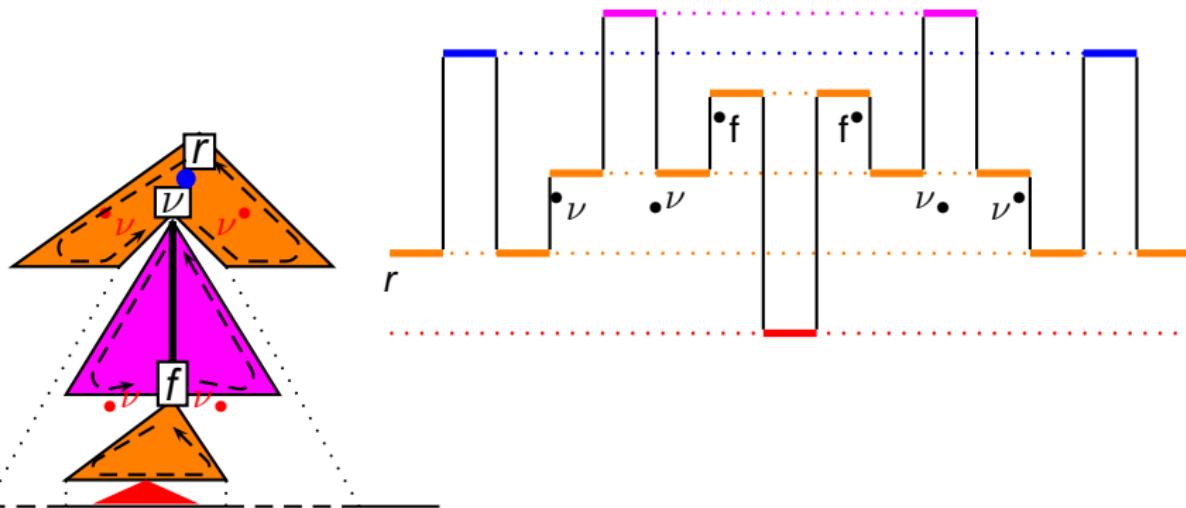
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One thread per tree  $h = 1, d = \max(\text{depth(trees)})$   
 $\Rightarrow [s = 1 + d]$  space  $O(n^{4+2d})$  and time  $O(n^{5+2d})$

# Parsing TAG: an alternate parsing strategy

Using more than one thread per elementary tree: 1 thread per subtree ( $\sim$  LIG)  
⇒ implicit extraction of subtrees  
⇒ implicit normal form (using a third kind of tree operation)  
⇒ usual  $n^6$  time complexity



Note: Similar to a TAG encoding in RCG proposed by Boullier

Always possible to reduce the number of live subthreads (down to 2).

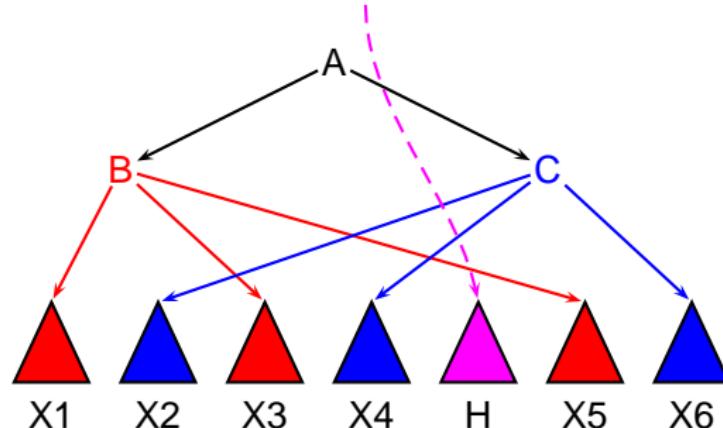
- if a thread  $p$  has  $d + 1$  subthreads, add a new subthread  $p.v$  that inherits  $d$  subthreads of  $p$
- generally increases the number of parent suspensions  $h$
- but may also exploit good topological properties, such as nesting (TAGs).

# Parsing (ordered simple) RCG

Range Concatenation Grammars (Boullier)

$$\gamma : A(X_1 X_2 X_3 X_4, X_5 X_6) \longrightarrow B(X_1, X_3, X_5)C(X_2, X_4, X_6)$$

Ordered simple RCGs  $\equiv$  Linear Context-Free Rewriting Systems (LCFRS)

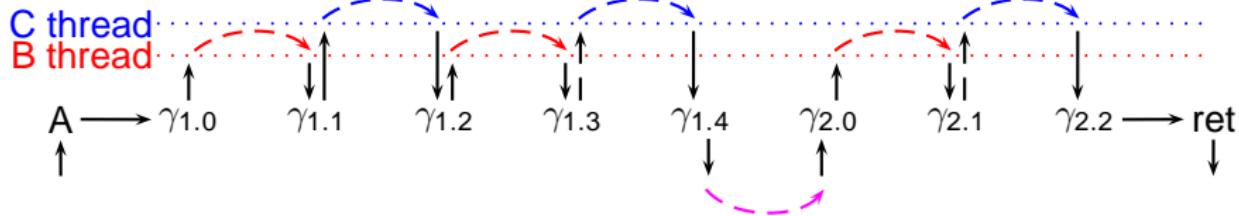
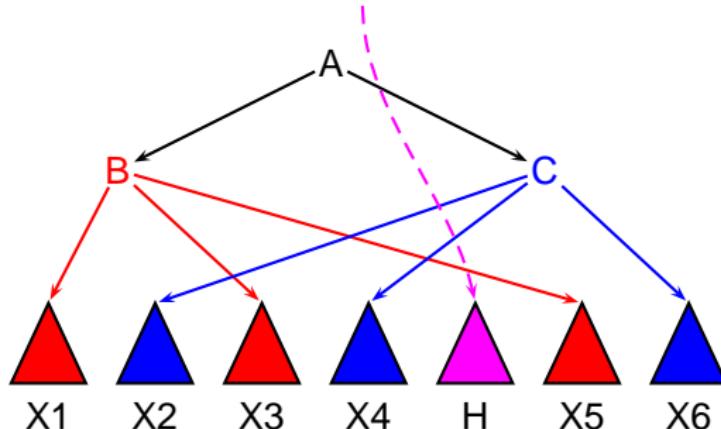


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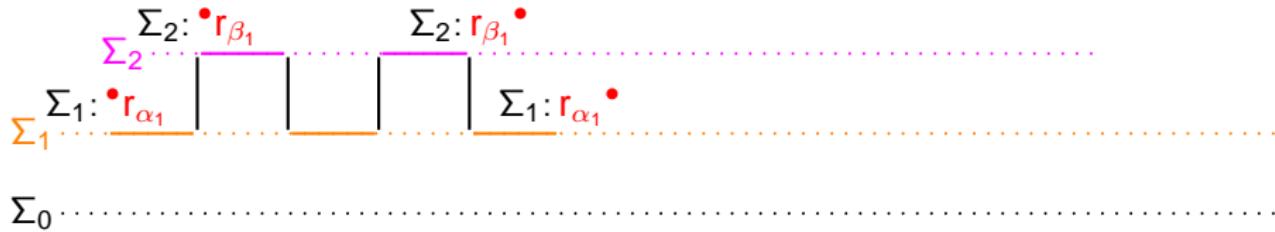
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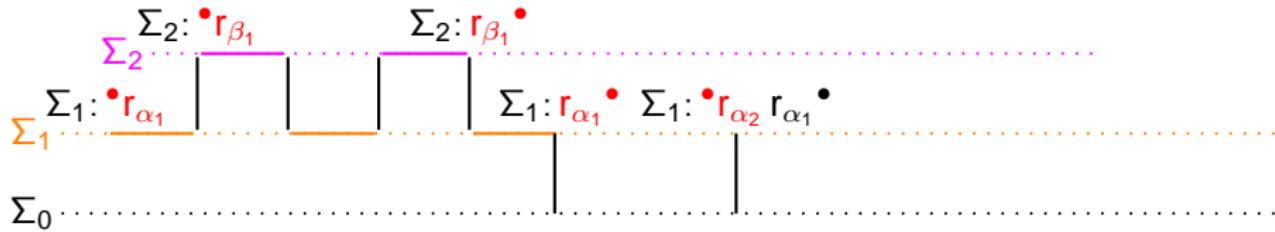


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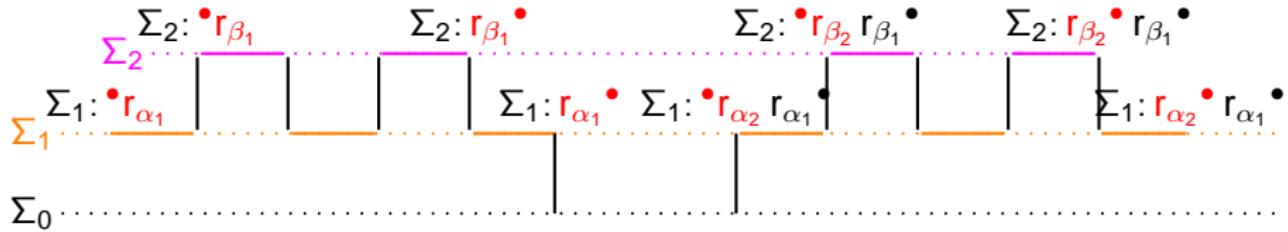


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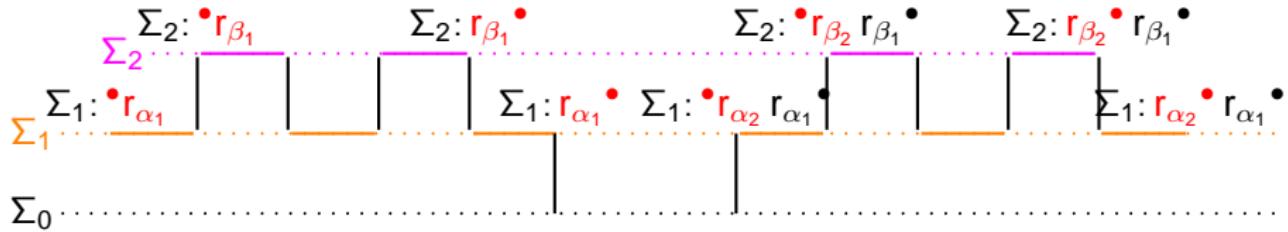


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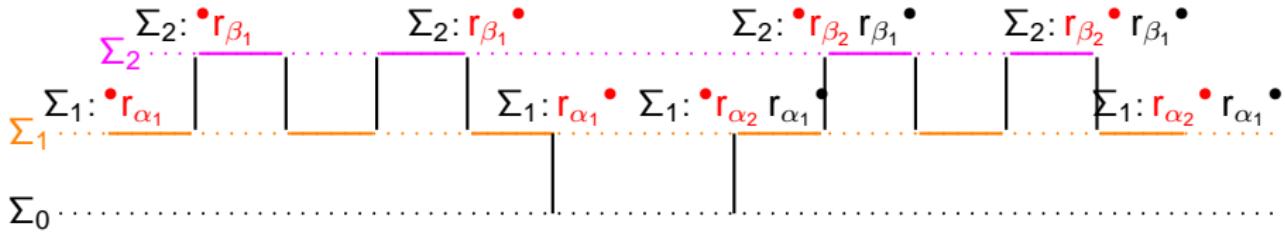


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Time complexity  $O(n^{3+2(m+v)})$  where {  $m$  max number of trees per set  
   $v$  max number of nodes per set

- 1 Some background about TAGs
- 2 Deductive chart-based TAG parsing
- 3 Automata-based tabular TAG parsing
- 4 Thread Automata and MCS formalisms
- 5 A Dynamic Programming interpretation for TAs
- 6 Practical aspects about TAG parsing
- 7 Conclusion

Direct evaluation of TA  $\rightsquigarrow$  exponential complexity and non-termination

# Dynamic Programming interpretation

Direct evaluation of TA  $\rightsquigarrow$  exponential complexity and non-termination

Use tabular techniques based on [Dynamic Programming](#) interpretation of TAs:

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Use tabular techniques based on [Dynamic Programming](#) interpretation of TAs:

**Principle:** Identification of a class of subderivations that

- may be tabulated as compact [items](#), removing non-pertinent information
- may be combined together and with transitions to retrieve all derivations

Methodology followed for PDAs (CFGs) and 2SAs (TAGs)

# Dynamic Programming – Items

DP interpretation of TA derivations:

(Tabulated) Item  $\equiv$  pertinent information about an (active) thread

Start point                    (current) Parent suspensions

(current) End point        (current) Subthread suspensions for **live** subthreads

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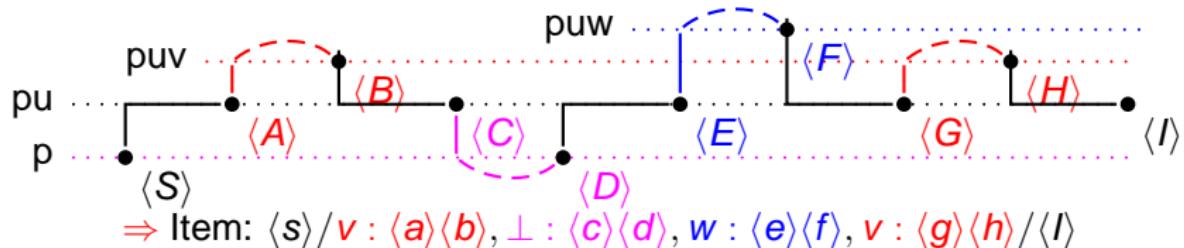
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DP interpretation of TA derivations:

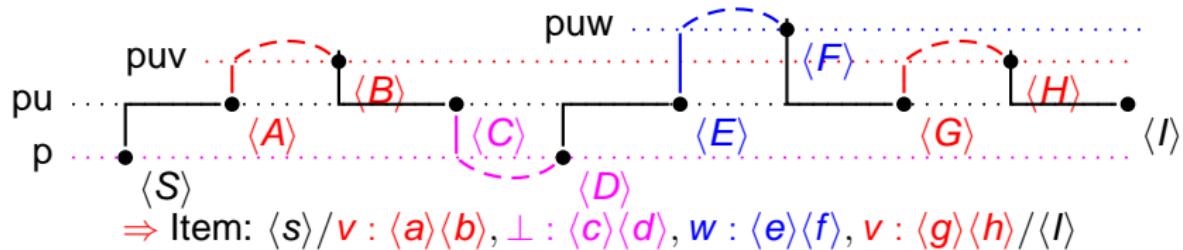
(Tabulated) Item  $\equiv$  pertinent information about an (active) thread

Start point

(current) Parent suspensions

(current) End point

(current) Subthread suspensions for **live** subthreads



Projection  $x = \kappa(X)$  used to trigger transition applications

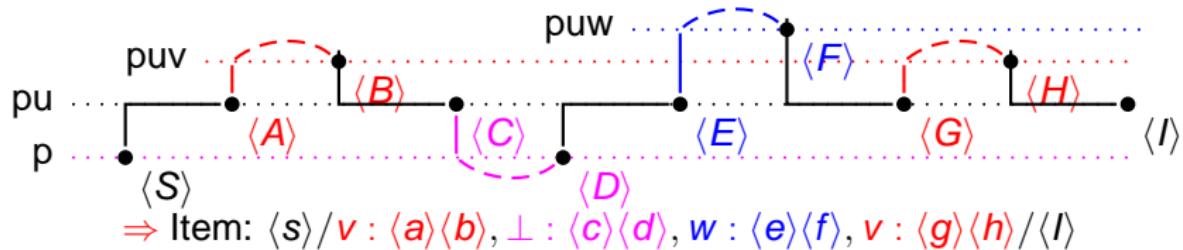
$\Rightarrow$  easy way to get complexity  $O(|G|)$

# Dynamic Programming – Items

DP interpretation of TA derivations:

(Tabulated) Item  $\equiv$  pertinent information about an (active) thread

Start point (current) Parent suspensions  
(current) End point (current) Subthread suspensions for **live** subthreads



Projection  $x = \kappa(X)$  used to trigger transition applications

$\Rightarrow$  easy way to get complexity  $O(|G|)$

Space complexity:

- at most 2 indices per suspensions + start + end =  $2(1 + s) \leq 2(1 + h + dh)$
- Scanning parts generally of fixed length (independent of  $n$ )  
 $\Rightarrow$  1 index per suspension

# Dynamic Programming – Application rules

Based on following model:

parent item    son item    trans  
parent or son extension

{fitting son and parent items}

Dynamic Programming – Application rules

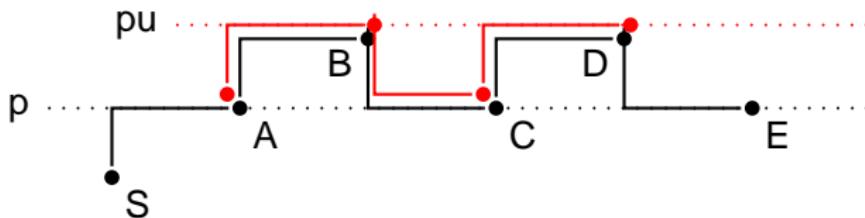
Based on following model:

parent item    **son item**    trans

parent or son extension

{fitting son and parent items}

Case [SPUSH]: parent item down-extends son item



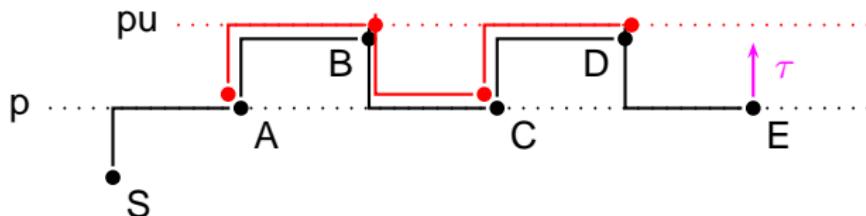
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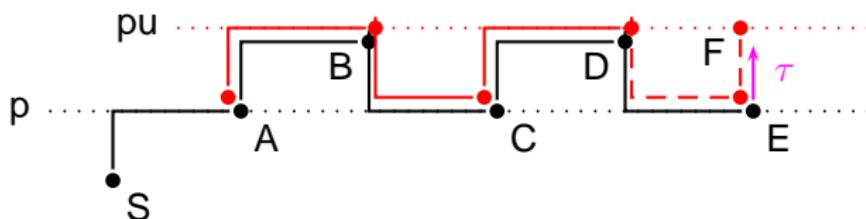
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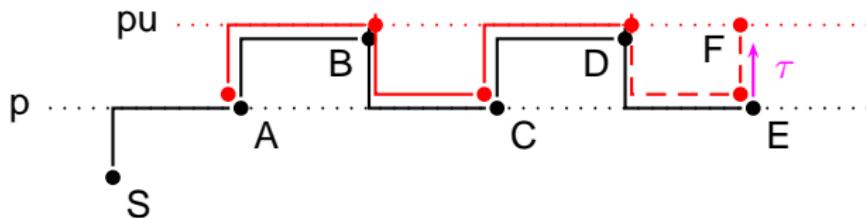
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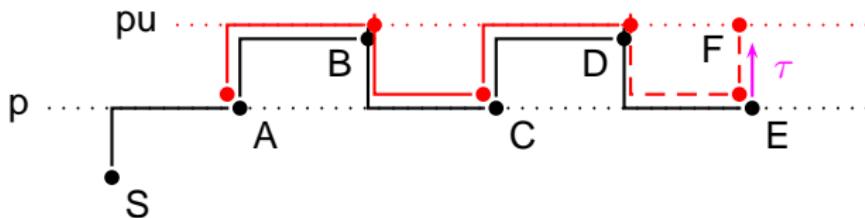
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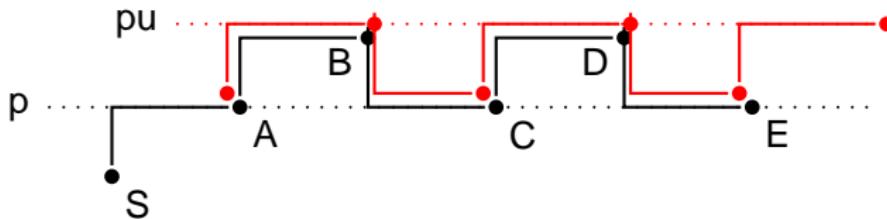
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{fitting son and parent items}

Case [SPUSH]: parent item down-extends son item



Case [SPOP]: son item up-extends parent item



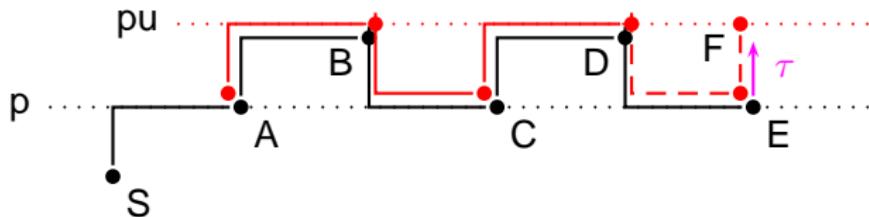
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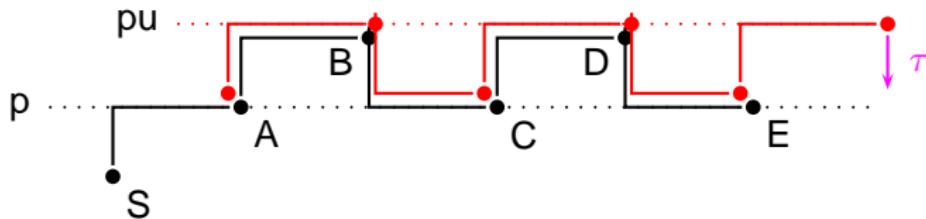
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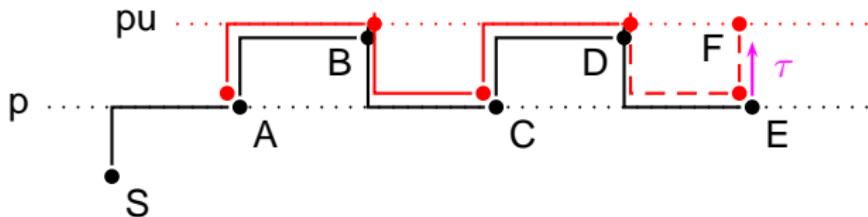
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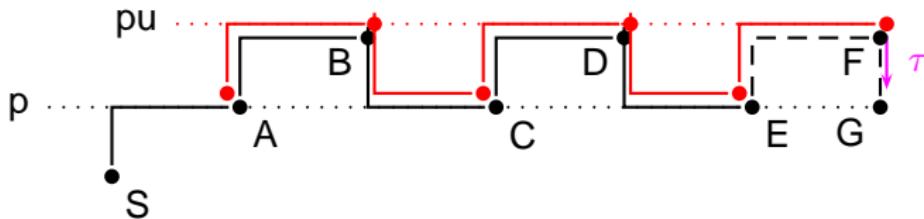
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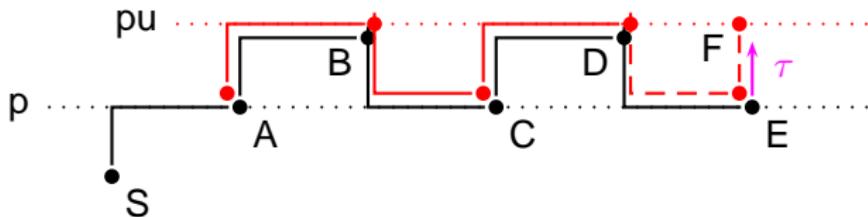
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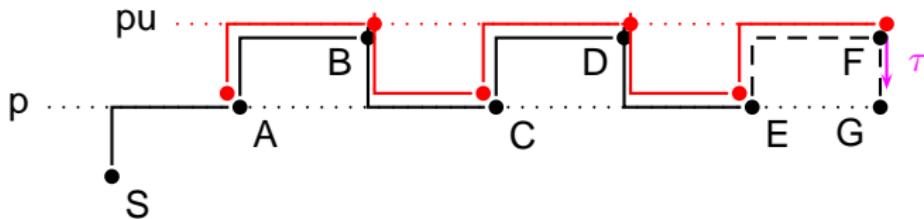
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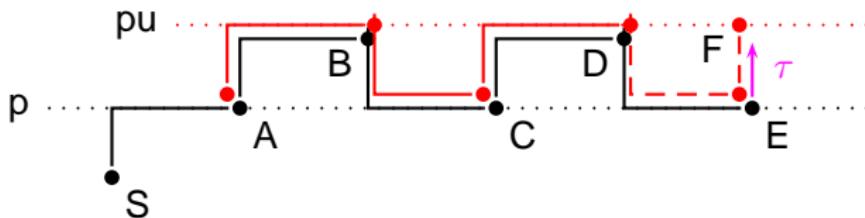
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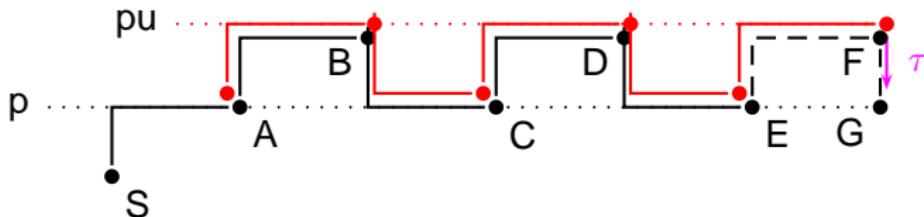
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{fitting son and parent items}

Case [SPUSH]: parent item down-extends son item



Case [SPOP]: son item up-extends parent item



Time complexity: all indices of parent item + end position of son item  
ignore indices of son item not related to parent suspensions

# Dynamic Programming: Rules

$$\frac{B \xrightarrow{\alpha} C \quad \langle a \rangle / S / \langle B \rangle}{\langle a \rangle / S / \langle C \rangle}$$

$a_r = \alpha$  if  $\alpha \neq \epsilon$  (SWAP)

$$\frac{b \mapsto [b]C \quad \star / \star / \langle B \rangle^I}{\langle b \rangle // \langle C \rangle}$$

$\{ (b, u) \in \kappa\delta(B) \wedge u \notin \text{ind}(I)$  (PUSH)

$$\frac{[B]C \mapsto D \quad \langle a \rangle / S / \langle B \rangle^I \quad J}{\langle a \rangle / S_{/u} / \langle D \rangle}$$

$\{ \begin{array}{l} J \nearrow^u I \wedge (b, u) \in \kappa\delta(B) \\ J^\bullet = \langle C \rangle \wedge \text{ind}(J) \subset \{\perp\} \end{array}$  (POP)

$$\frac{b[C] \mapsto [b]D \quad I \quad \langle a \rangle / S / \langle C \rangle^J}{\langle a \rangle / S, \perp : \langle c \rangle \langle b \rangle / \langle D \rangle}$$

$\{ \begin{array}{l} I \searrow_u J \wedge I^\bullet = \langle B \rangle \\ (b, u) \in \kappa\delta(B) \wedge (c, \perp) \in \kappa\delta(C) \end{array}$  (SPUSH)

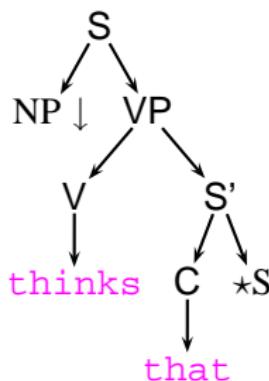
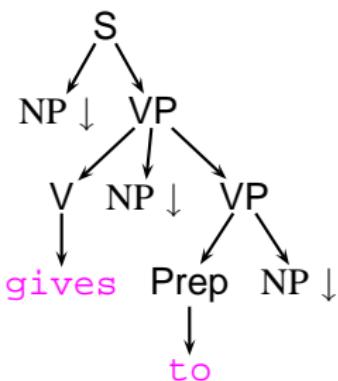
$$\frac{[B]c \mapsto D[c] \quad \langle a \rangle / S / \langle B \rangle^I \quad J}{\langle a \rangle / S, u : \langle b \rangle \langle c \rangle / \langle D \rangle}$$

$\{ \begin{array}{l} J \nearrow^u I \wedge (b, u) \in \kappa\delta(B) \\ J^\bullet = \langle C \rangle \wedge (c, \perp) \in \kappa\delta(C) \end{array}$  (SPOP)

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- 7 Conclusion

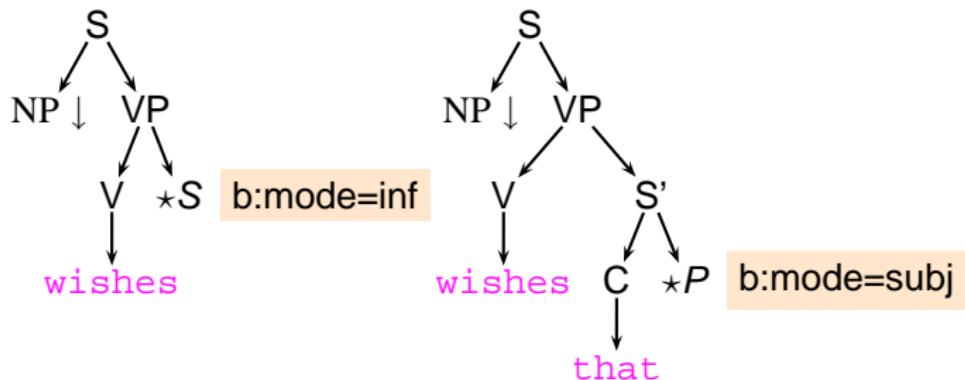
# Sub-Categorisation

The **extended domain of locality** provided by trees allows (for instance) specifying the argument structure expected by a verb



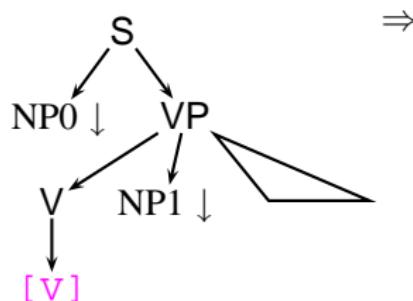
# Feature TAGs

Node decoration top and bot:

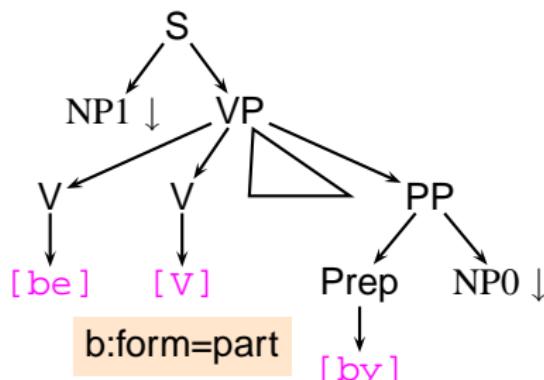


# Family: passive

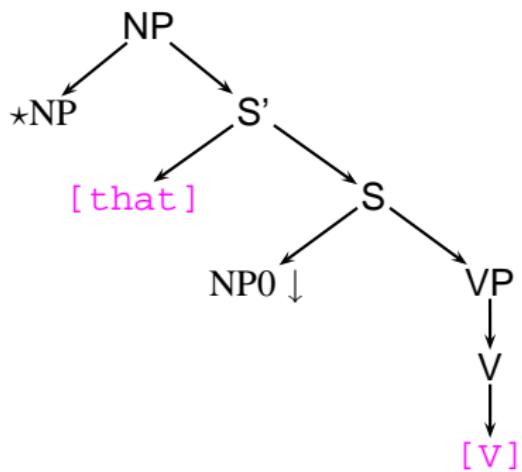
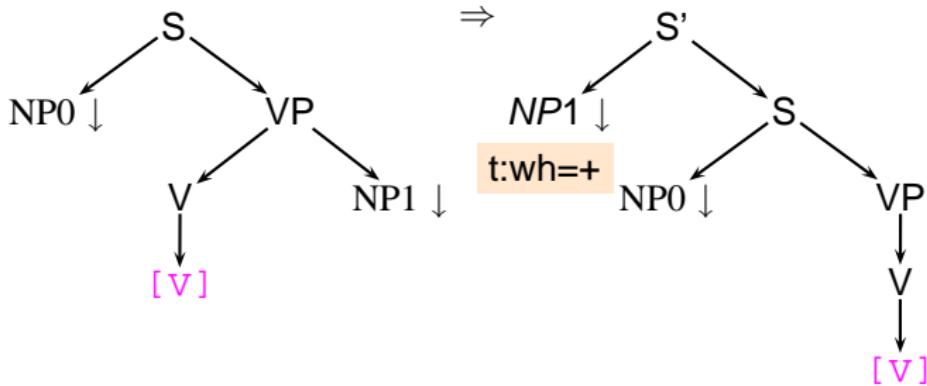
Transformation to handle passive voice



⇒



# Family: Extraction



- Large grammar size, in terms of trees  
due to lexicalization and extended domain of locality  
⇒ several thousand tree schema, maybe more than ten thousands  
 $\# \text{args.} \# \text{realizations.} \# \text{extractions.} \dots$
- Complexity of adjoining
- Handling unification-based decorations (large feature structures)

## Lexicalized TAGs (LTAGs):

- each tree has to be anchored by a lexical (+ possibly lexical coanchors)
- the input words used to filter out non anchorable trees
- still many possible trees per words (specially for verbs)

# Super- and Hyper- tagging

*tagging words with information to anchor trees, depending on local contexts*

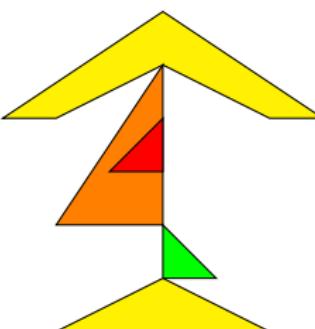
Motivation: reducing the number of selected trees for a given word.

- **supertagging:** tree or family names  
but still many possible names per words (specially for verbs)
- **hypertagging:** (underspecified) feature structures, with feature characterizing syntactic properties (such as verb valence, diathesis, ...)

|           |  |
|-----------|--|
| promettre | $\left[ \begin{array}{l} \text{arg0} \quad \left[ \begin{array}{l} \text{kind subj   -} \\ \text{pcas -} \end{array} \right] \\ \text{arg1} \quad \left[ \begin{array}{l} \text{kind obj   scomp   -} \\ \text{pcas -} \end{array} \right] \\ \text{arg2} \quad \left[ \begin{array}{l} \text{kind prepobj   -} \\ \text{pcas à   -} \end{array} \right] \\ \text{refl} \quad - \end{array} \right]$ |
|-----------|--|

## Hybrid TIG/TAG parsing

TIG are a TAG variant ([Schabes](#)) where one adjoining step can only insert material on left or right side of the adjoining node.



- Tree Insertion Grammars [TIG] have equivalent to CFGs (with  $O(n^3)$  time complexity)
- Real life TAGs are mostly TIG and possible to automatically detect TIG and TAG parts of a grammar  
⇒ pay higher complexity only for wrapping adjoining
- May switch to multiple adjoining on nodes getting more natural derivation forests

# Tree factorization

**Idea** : putting more in a single tree, because the trees share many common subparts

- defining more than one traversal path per tree (**Harbush**)
- using regular operators on trees:

disjunctions  $T[t_1; t_2] \equiv T[t_1] \cup T[t_2]$

repetitions (Kleene Stars)  $t @* \equiv \text{kleene}_t(\epsilon) \cup \text{kleene}_t(t, \text{kleene}_t)$

interleaving (free ordering between node sequences)

$(t_1, t_2) \# \# t_3 \equiv (t_1, t_2, t_3; t_1, t_3, t_2; t_3, t_1, t_2)$

optionality (optional node)  $t ? \equiv (t; \epsilon)$

guards (guarded nodes)  $T[G_+, t; G_-] \equiv T[t].\sigma_+ \cup T[\epsilon].\sigma_-$

guards: boolean formula over equation between feature structure paths

These operators

- do not modify expression power or complexity
- may be removed by expansion  
but resulting trees exponential wrt number of operators
- more efficient to evaluate them without expansion  
 $\Rightarrow$  more natural analysis
- very generic operators (not specific to TAGs, TIGs, or DCGs)

- good indexing mechanisms
- identifying related `top` and `bottom` feature values that stay identical, even when adjoining
- efficient representations: for instance, bit vectors for finite sets of values (such as `mood` or `tense`)
- transformation into TAGs with no decoration but huge set of non-terminals but already dealing with large grammars !

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French metagrammar **FRMG** with compiler generator **DyALog**;

- 2-SA based variant similar to non-optimal thread automata interpretation
- lexical filtering (even if the grammar is not 100% lexicalized)
- left-corner filtering
- hybrid TIG/TAG (almost 100%TIG), automatically detected by **DyALog**
- tree factoring (disjunction, Kleene stars, guards)  
thanks to generation from the meta-grammar  
⇒ 169 factorized trees
- (**DyALog**) bit vector for finite sets, table indexing, structure sharing
- no tagging, supertagging or hypertagging  
but planned when good training data for French  
and already using hypertags for tree anchoring
- returns shared derivation forests, converted into shared dependency forests

# What is missing in this tutorial

- (n-best) shared derivation forests ([Chiang & Huang](#))
- stochastic TIG/TAG parsing ([Sarkar](#))
- machine learning techniques

*I don't understand exactly what you are doing  
but it doesn't look very useful*

*Romane, 8 years old*